



# 藤蔓技术论坛

## 面向三维点云处理的深度学习 研究进展

<https://yochengliu.github.io/>  
<http://vslab.ia.ac.cn/>

刘永成 助理研究员



中国科学院大学  
University of Chinese Academy of Sciences



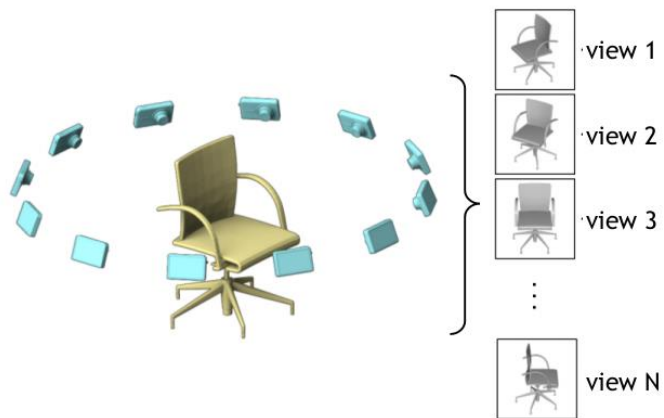
中国科学院自动化研究所, 模式识别国家重点实验室

2021.06.17

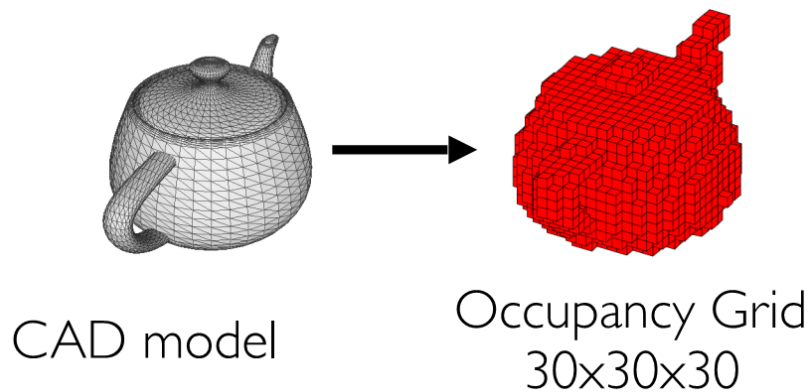


- ① 背景简介
- ② 研究综述
- ③ 工作介绍

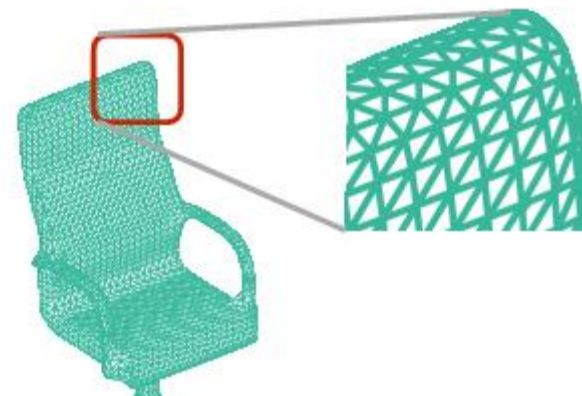
# 背景简介 3D数据表示



多视角图像 + 2D CNN



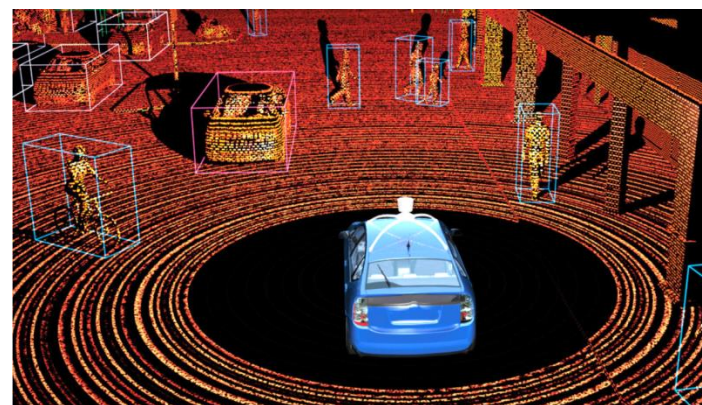
体素数据 + 3D CNN



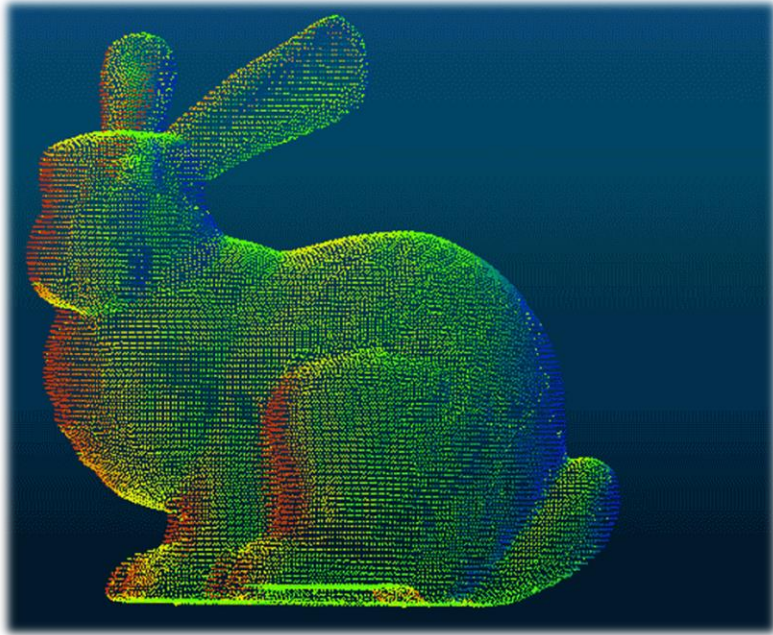
网眼 (Mesh) 数据 + GNN



深度图 + 2D CNN

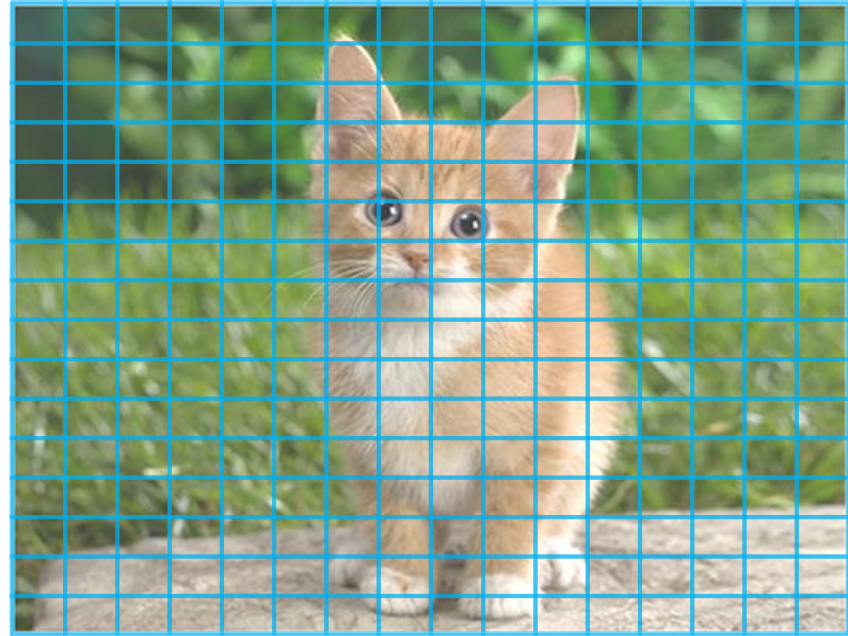


3D点云 + Deep Learning



## □ 3D点云

- ✓  $(x, y, z)$ , 不规则分布, 无序, 稀疏, 不均匀
- ✓ 物体3D形状结构信息



## □ 2D图像

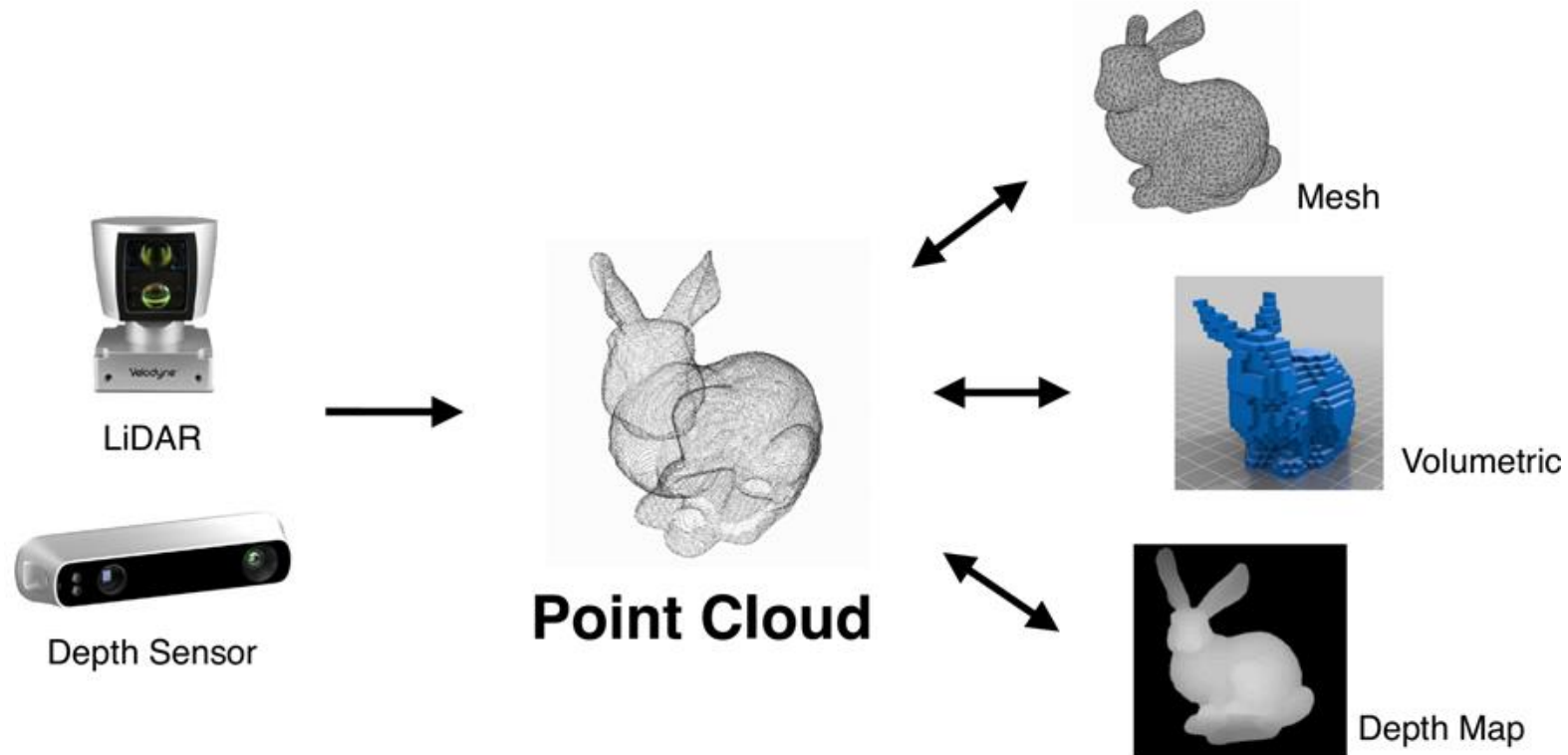
- ✓  $(r, g, b)$ , 规则网格分布, 有序, 密集, 均匀
- ✓ 物体表观纹理信息

# 背景简介 3D点云优势



## □ 3D点云 - 数据本身优势 vs. 其他3D表示

- ✓ 传感器获取的原始数据 (raw data)
- ✓ 数据表示与存储简单:  $N * (x, y, z, r, g, b, \text{intensity})$
- ✓ 良好的3D形状表征特性, 视角图像 (自遮挡)、3D体素 (细节缺失)、深度图 (2.5D)、Mesh (重建)

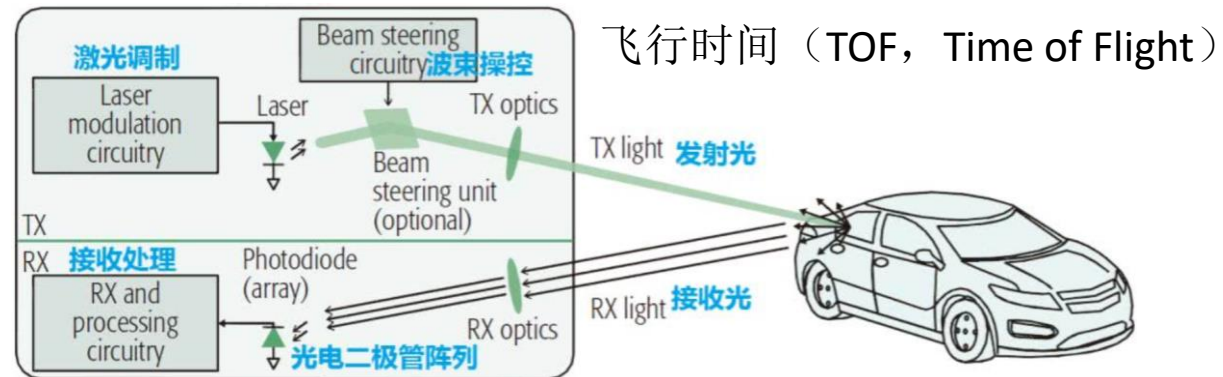


# 背景简介 3D点云优势



## □ 3D点云 – 传感器采集优势 (LiDAR) vs. 自然图像

- ✓ 雨、雾、雪，强光、逆光等
- ✓ 全天候采集3D信息 vs. 红外2D信息
- ✓ 视野范围较大（360度环视）

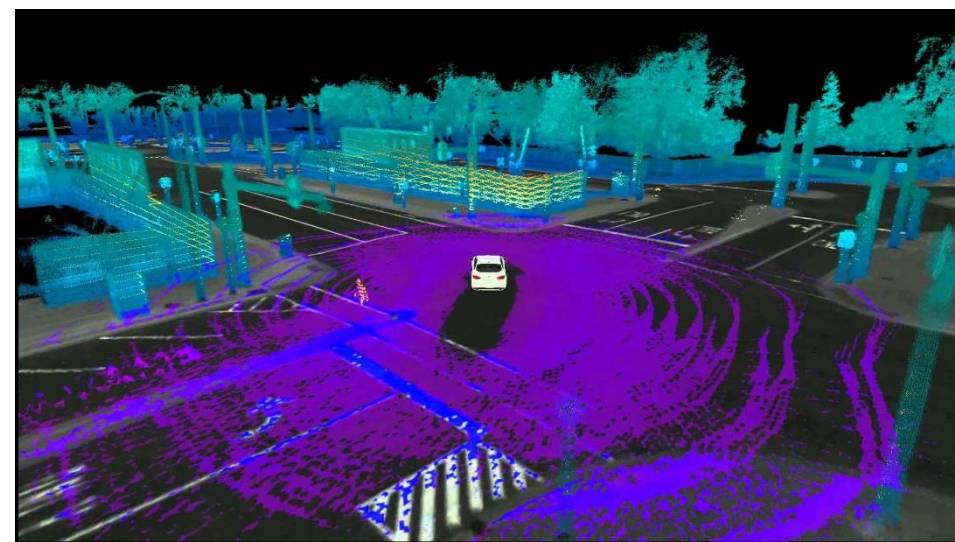
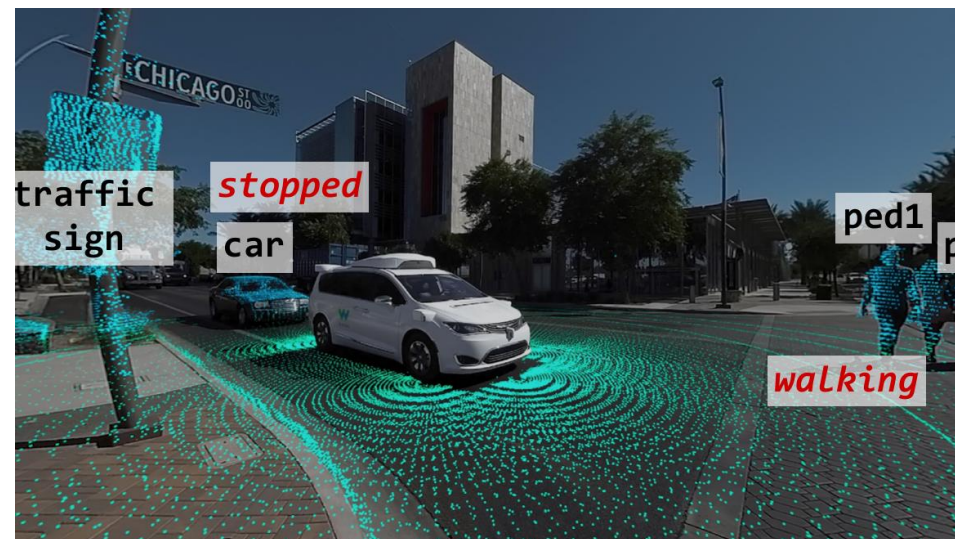


# 背景简介 3D点云应用场景



## □ 学术界和工业界广泛关注?

- ✓ 自动驾驶
- ✓ 高精地图
- ✓ AR & VR

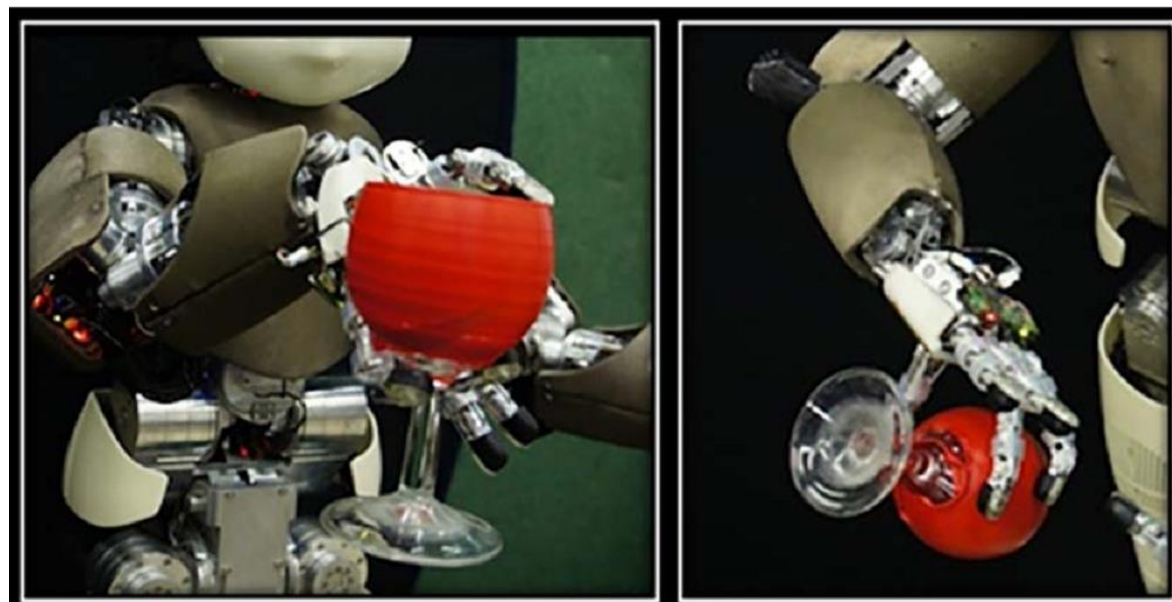
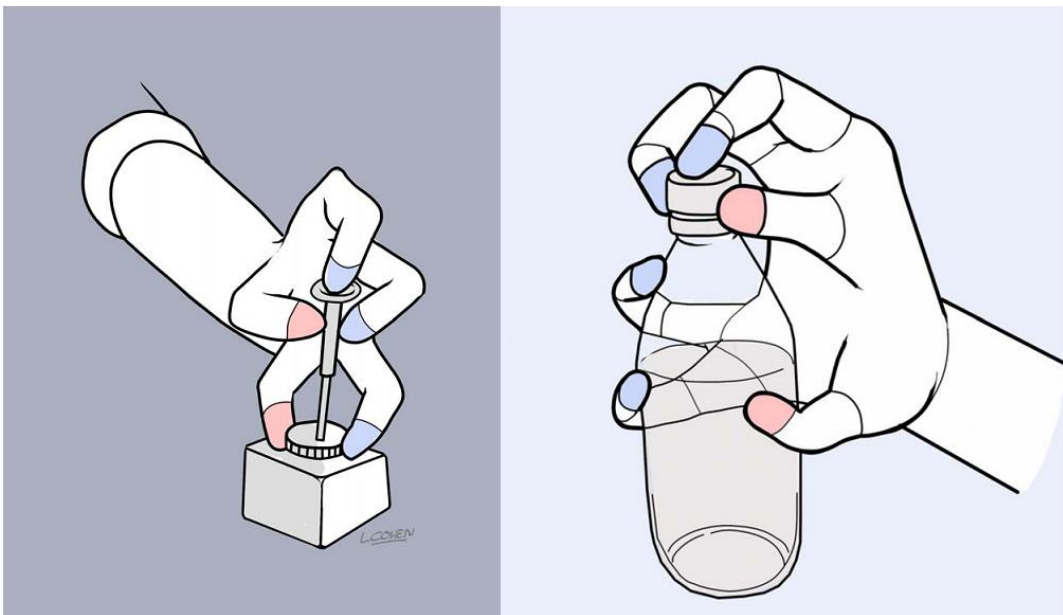
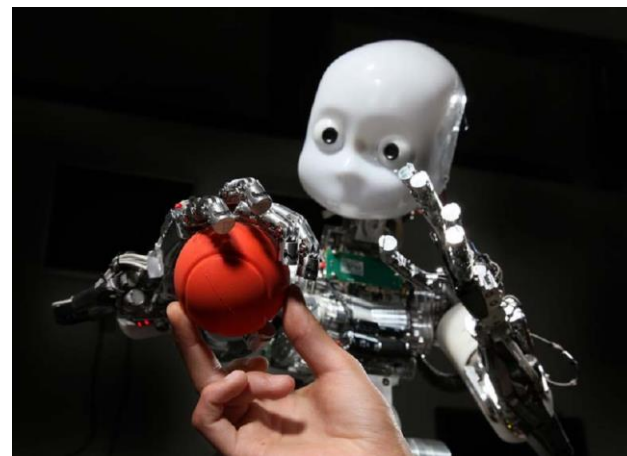


# 背景简介 3D点云应用场景



## □ 学术界和工业界广泛关注?

- ✓ 自动驾驶、高精地图、AR & VR
- ✓ 机器人操作 (robot manipulation): 抓取



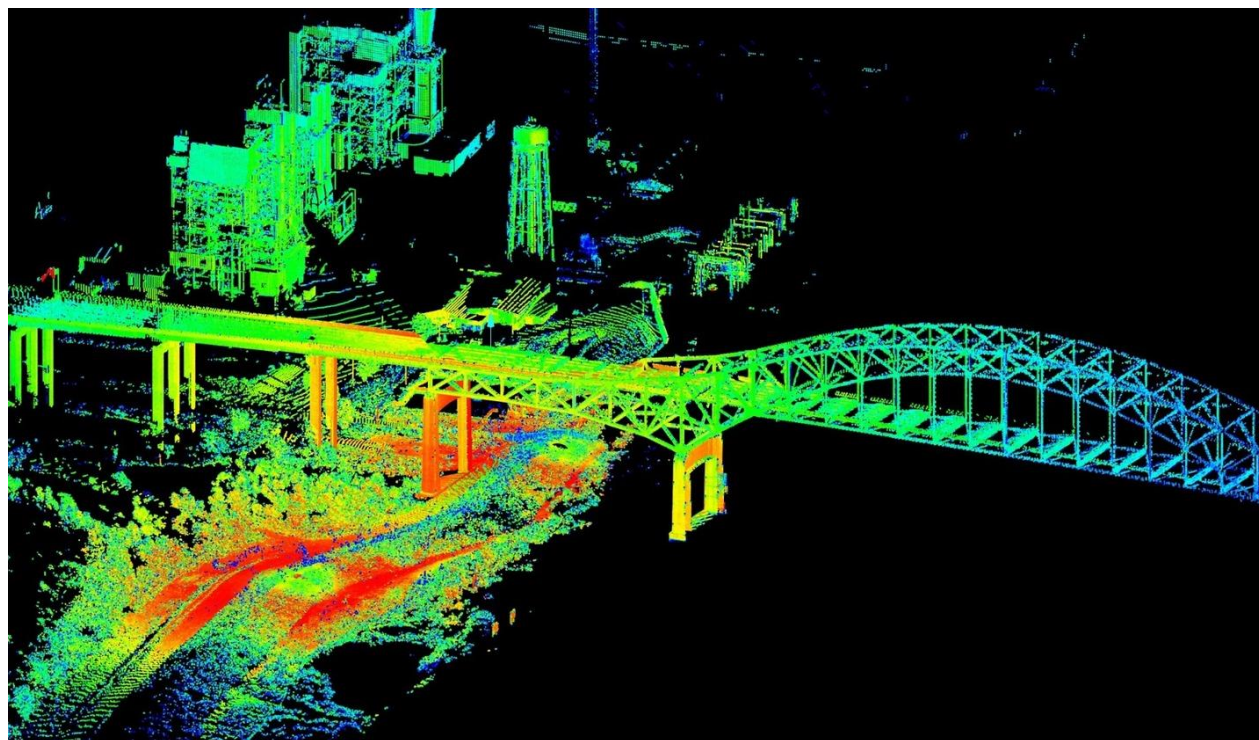
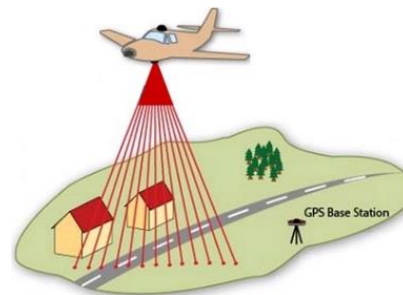


# 背景简介 3D点云应用场景



## □ 学术界和工业界广泛关注?

- ✓ 自动驾驶、高精地图、AR & VR
- ✓ 机器人操作：抓取
- ✓ 测绘地理、遥感 (数字城市)

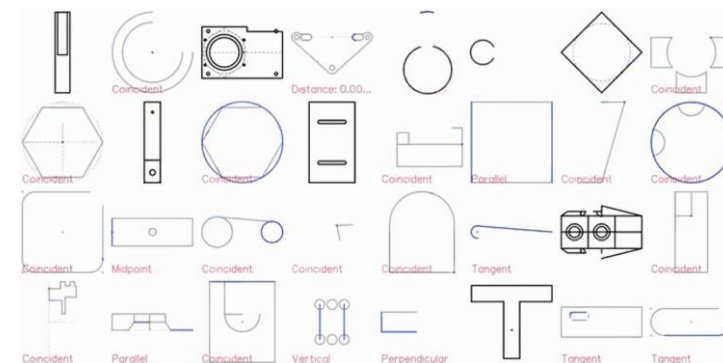
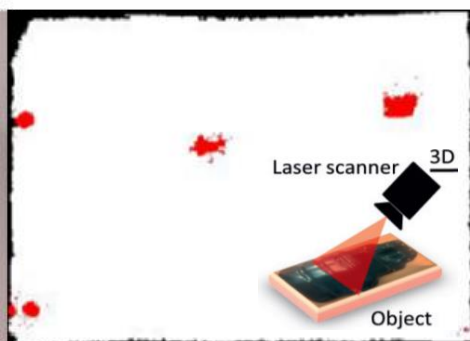
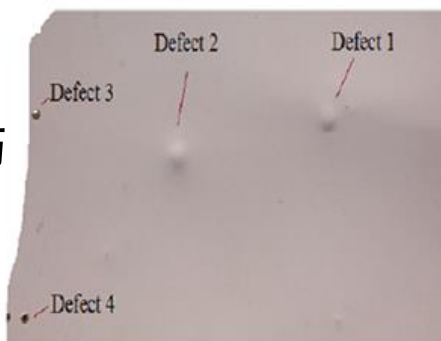
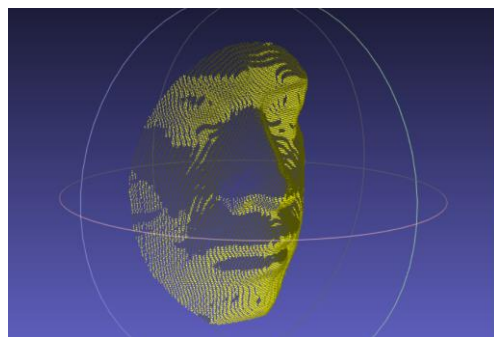
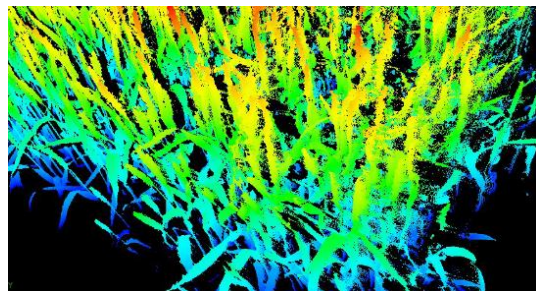


# 背景简介 3D点云应用场景



## □ 学术界和工业界广泛关注?

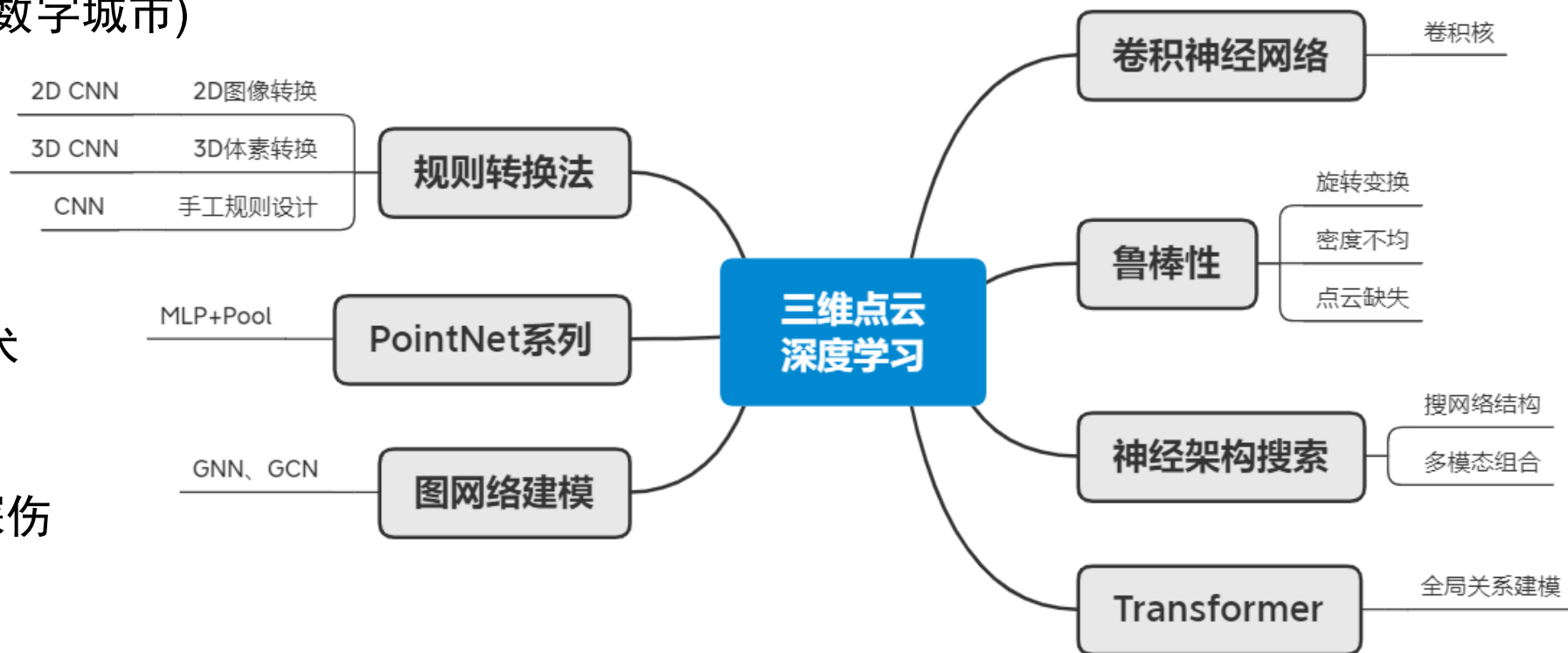
- ✓ 自动驾驶、高精地图、AR&VR
- ✓ 机器人操作：抓取
- ✓ 测绘地理、遥感 (数字城市)
- ✓ 农业、林业
- ✓ 考古与文物保护
- ✓ 3D人脸识别
- ✓ 医疗：3D精准手术
- ✓ 3D游戏、3D动漫
- ✓ 缺陷检测、工业探伤
- ✓ 计算机辅助设计
- .....

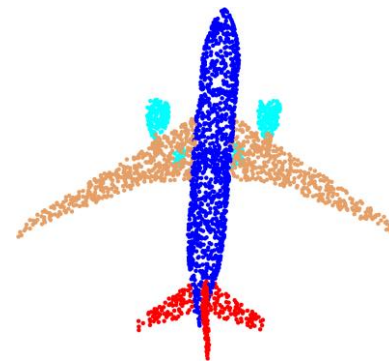
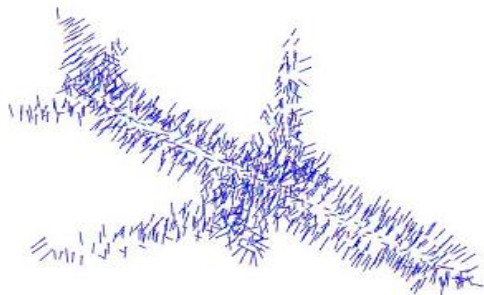




## □ 学术界和工业界广泛关注?

- ✓ 自动驾驶、高精地图、AR&VR
- ✓ 机器人操作：抓取
- ✓ 测绘地理、遥感 (数字城市)
- ✓ 农业、林业
- ✓ 考古与文物保护
- ✓ 3D人脸识别
- ✓ 医疗：3D精准手术
- ✓ 3D游戏、3D动漫
- ✓ 缺陷检测、工业探伤
- ✓ 计算机辅助设计
- 学术层面：开放性研究问题





Princeton ModelNet: 1k

[1] Wu et al. CVPR 2015.

ShapeNet Part: 2k

[2] Yi et al. TOG 2016.



PartNet models

Coarse



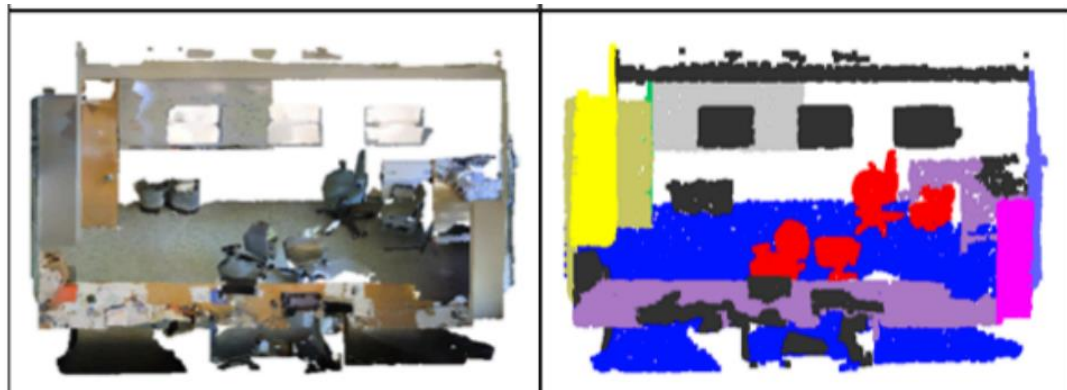
Fine-grained



.....

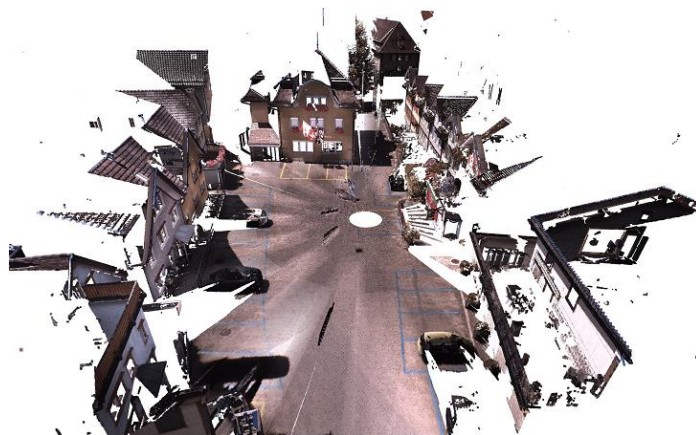
Hierarchical Semantic Segmentation

[3] Mo et al. CVPR 2019.



Stanford 3D indoor scene: 8k

[4] Armeni et al. CVPR 2016.



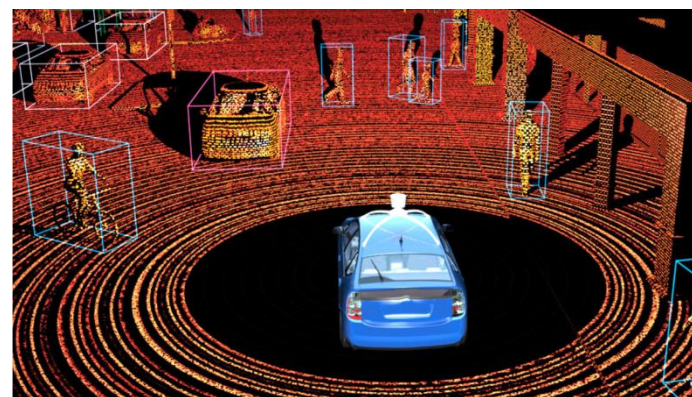
Semantic 3D: 4 billion in total

[5] Hackel et al. ISPRS 2017.



ScanNet: 语义分割 + 目标检测

[6] Dai et al. CVPR 2017.



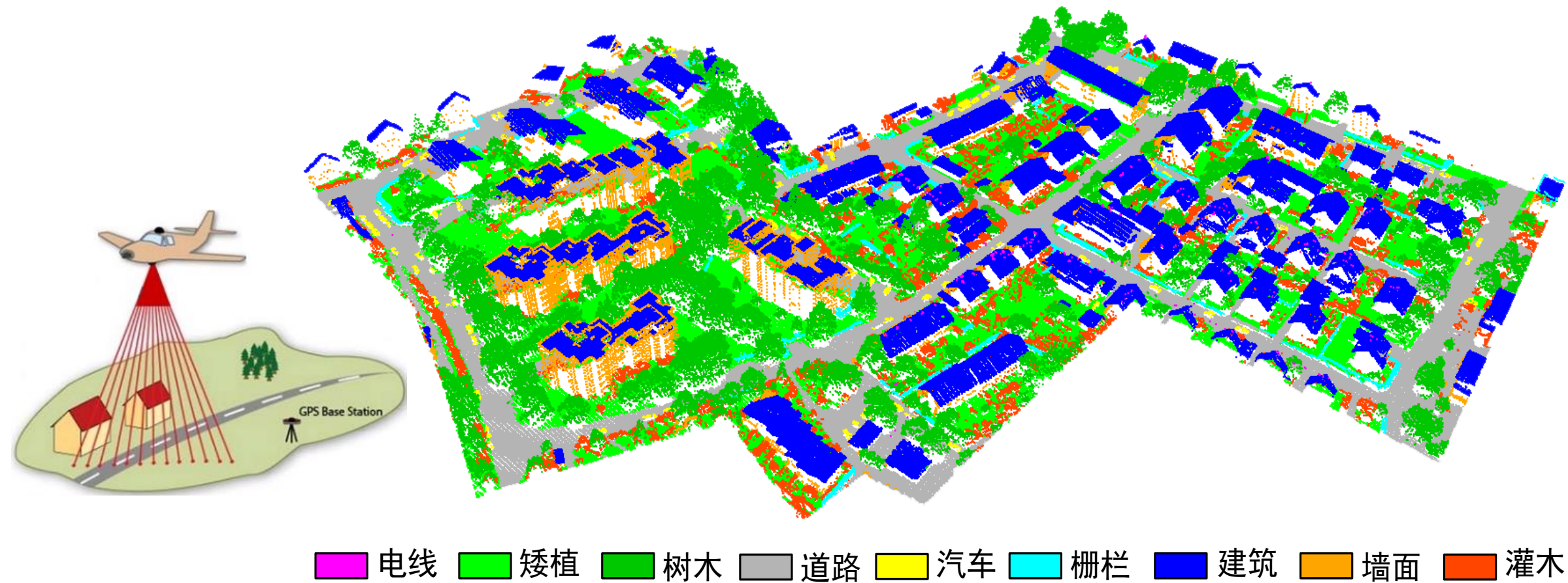
KITTI, Apollo, nuScenes, Waymo

语义分割 + 目标检测

.....



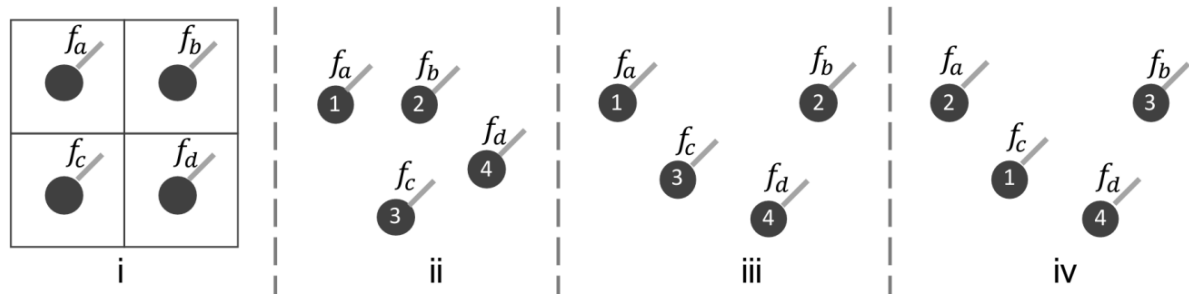
## □ 机载激光雷达点云 (Airborne Laser Scanning, ALS)



# 背景简介 点云深度学习：问题与挑战



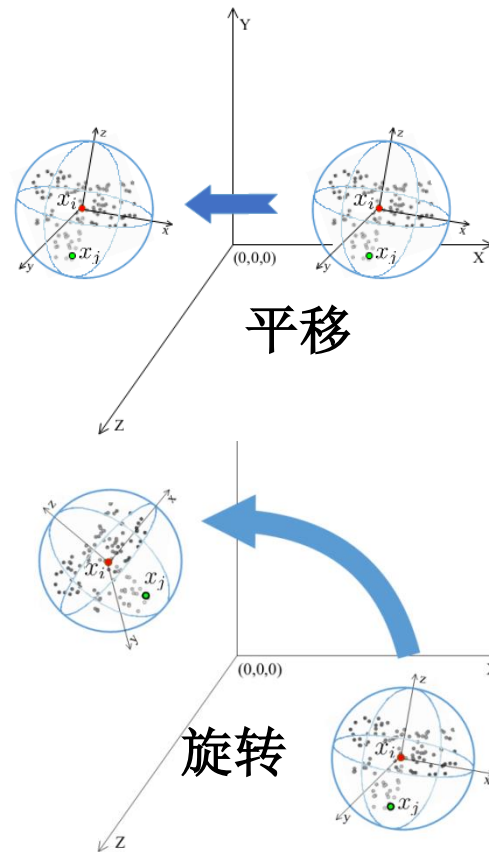
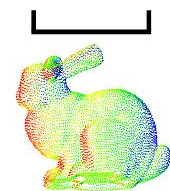
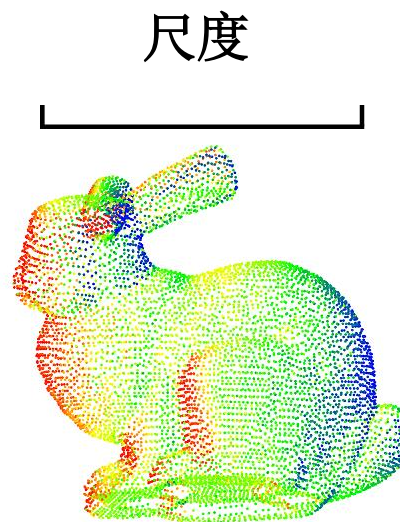
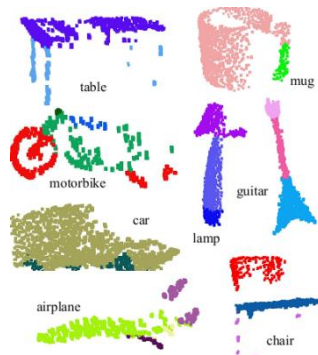
不规则、无序：结构感知 & 置换排列不变性



几何变换：鲁棒性

点云缺失、大量噪声、分布稀疏且不均匀：鲁棒性；

大尺度场景点云数据：如何高效处理？



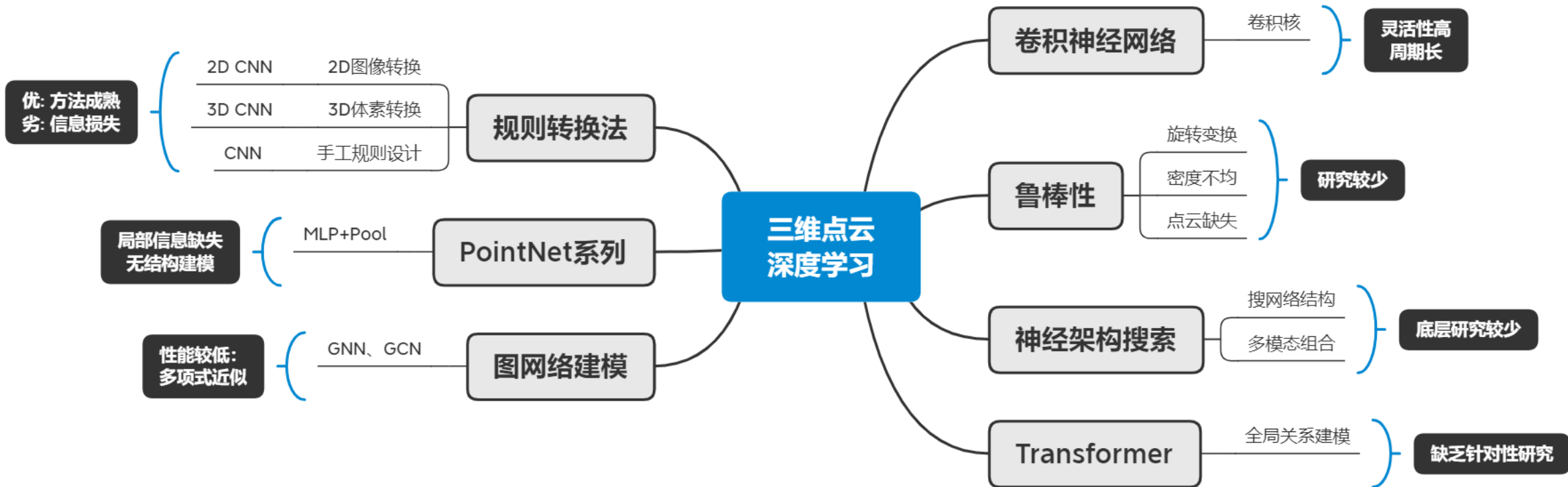


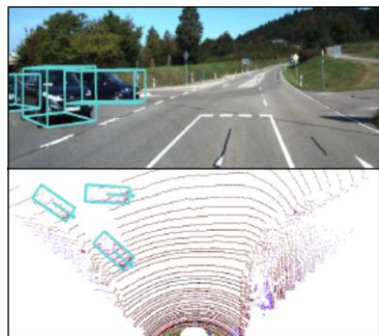
① 背景简介

② 研究综述

③ 工作介绍







2DCNN

多视角图像

鸟瞰图 (bird view)

切平面图

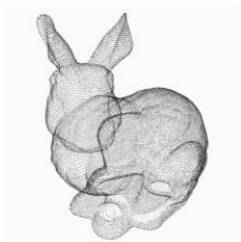
2D图像转换

规则转换法

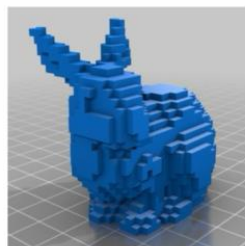
高维规则空间

3D体素转换

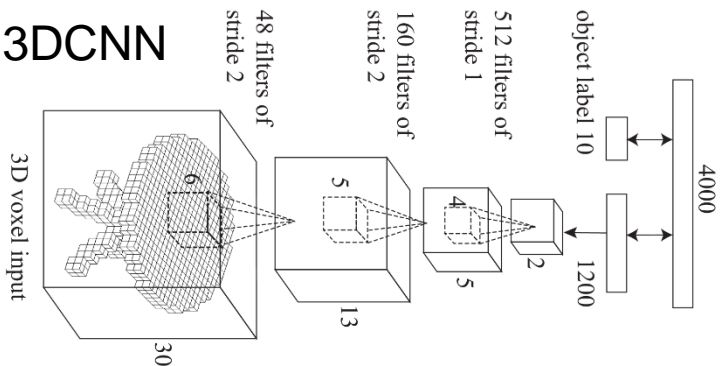
手工规则设计

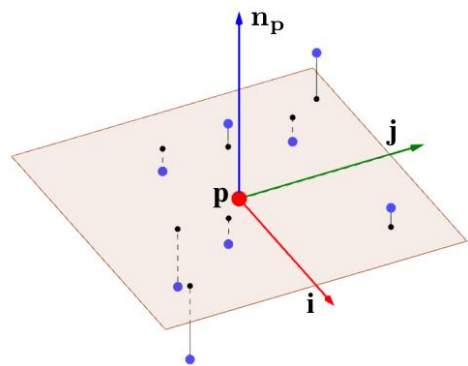


voxelization



3DCNN





$$\|p - q\| < R \quad r = q - p$$

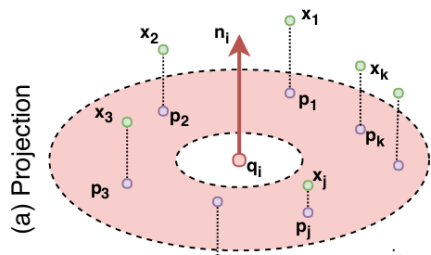
$$C = \sum_q r r^T \quad \text{正切图像 } S$$

[42] Tatarchenko et al. Tangent Conv. CVPR 2018.

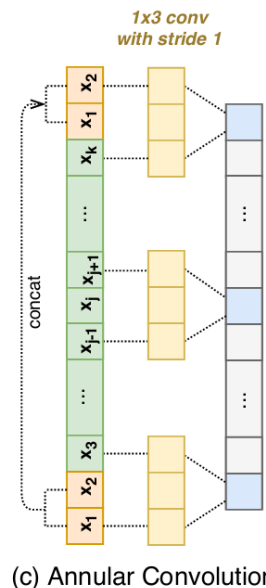
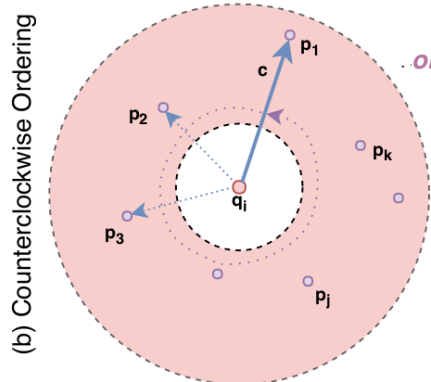
$$S(\mathbf{v}) = F(\mathbf{q}) \quad S(\mathbf{u}) = \sum_{\mathbf{v}} (w(\mathbf{u}, \mathbf{v}) \cdot S(\mathbf{v}))$$

$$X(\mathbf{p}) = \int_{\pi_{\mathbf{p}}} c(\mathbf{u}) S(\mathbf{u}) d\mathbf{u}$$

计算切平面 (稀疏) → 图像插值 → 完整图像 → 图像卷积



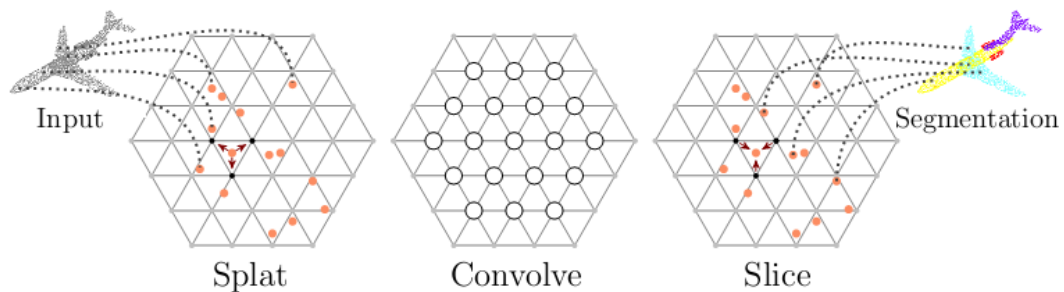
[43] Komarichev et al. A-CNN. CVPR 2019.



协方差计算复杂度较高

3D形状信息存在缺失

多视角(12 views): 复杂度问题  
间接处理方法



[8] Su et al. SPLATNet. CVPR 2018.

高维晶格 + 双边卷积 + 哈希表提速

[9] Kiefel et al. Permutohedral Lattice CNNs. ICLR 2015.

[10] Jampani et al. Bilateral Neural Networks. CVPR 2016.

## 间接处理方法

“simultaneously weight and permute the input features”

[12] Li et al. PointCNN. NIPS 2018.

$K \times K$  咖方变换矩阵: 加权 + 置换

---

### ALGORITHM 1: $\mathcal{X}$ -Conv Operator

---

**Input** :  $K, p, \mathbf{P}, \mathbf{F}$

**Output** :  $\mathbf{F}_p$

1:  $\mathbf{P}' \leftarrow \mathbf{P} - p$

2:  $\mathbf{F}_\delta \leftarrow MLP_\delta(\mathbf{P}')$

3:  $\mathbf{F}_* \leftarrow [\mathbf{F}_\delta, \mathbf{F}]$

4:  $\mathcal{X} \leftarrow MLP(\mathbf{P}')$

5:  $\mathbf{F}_\mathcal{X} \leftarrow \mathcal{X} \times \mathbf{F}_*$

6:  $\mathbf{F}_p \leftarrow \text{Conv}(\mathbf{K}, \mathbf{F}_\mathcal{X})$

---

▷ Features “projected”, or “aggregated”, into representative point  $p$

▷ Move  $\mathbf{P}$  to local coordinate system of  $p$

▷ **Individually** lift each point into  $C_\delta$  dimensional space

▷ Concatenate  $\mathbf{F}_\delta$  and  $\mathbf{F}$ .  $\mathbf{F}_*$  is a  $K \times (C_\delta + C_1)$  matrix

▷ Learn the  $K \times K$   $\mathcal{X}$ -transformation matrix

▷ Weight and permute  $\mathbf{F}_*$  with the learnt  $\mathcal{X}$

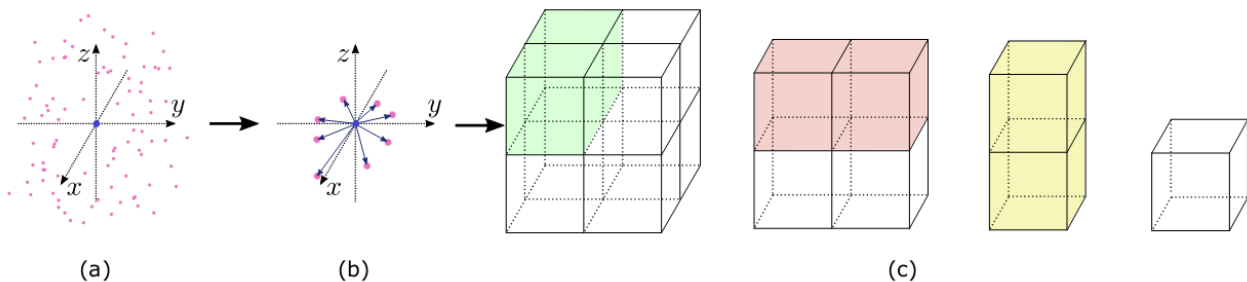
▷ Finally, typical convolution between  $\mathbf{K}$  and  $\mathbf{F}_\mathcal{X}$

# 研究综述 点云规则化处理 - 手工规则设计



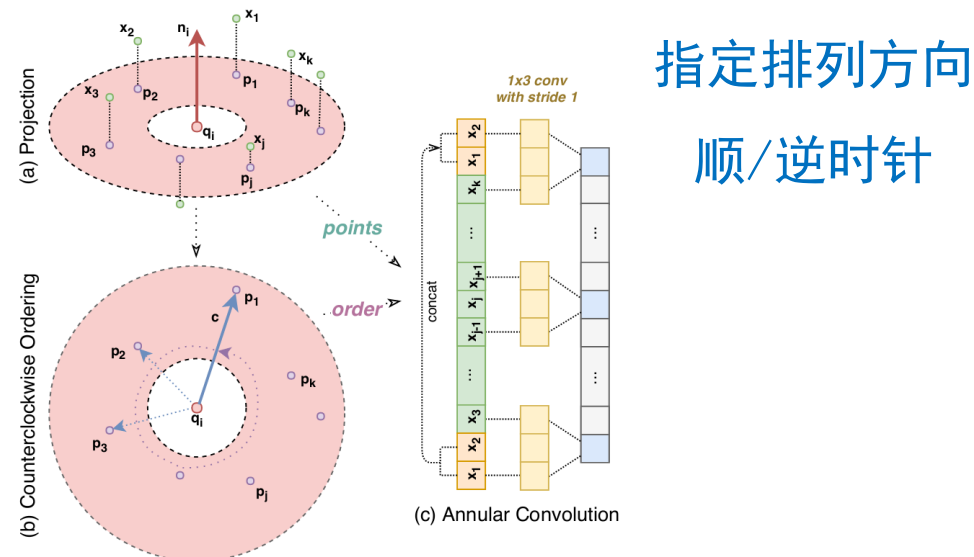
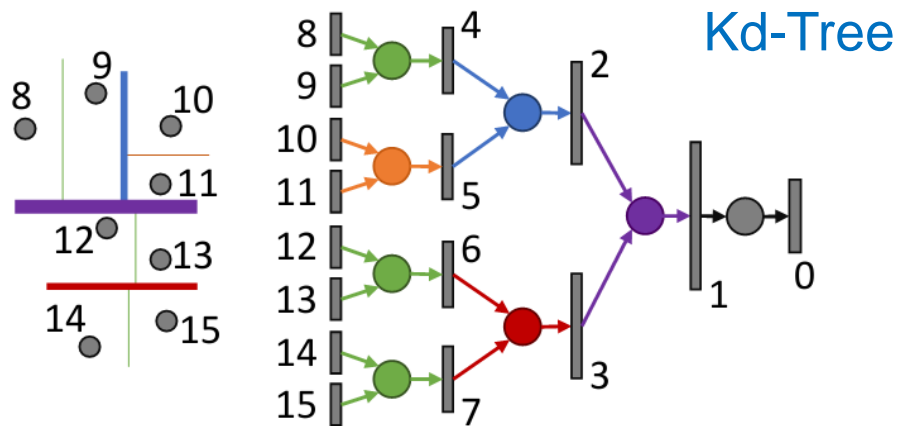
[13] Jiang et al. PointSIFT. arXiv 2018.

按方向编码



规则普适性不强

[43] Komarichev et al. A-CNN. CVPR 2019.



指定排列方向  
顺/逆时针

[16] Klovov et al. Kd-Net. ICCV 2017.



## PointNet 系列



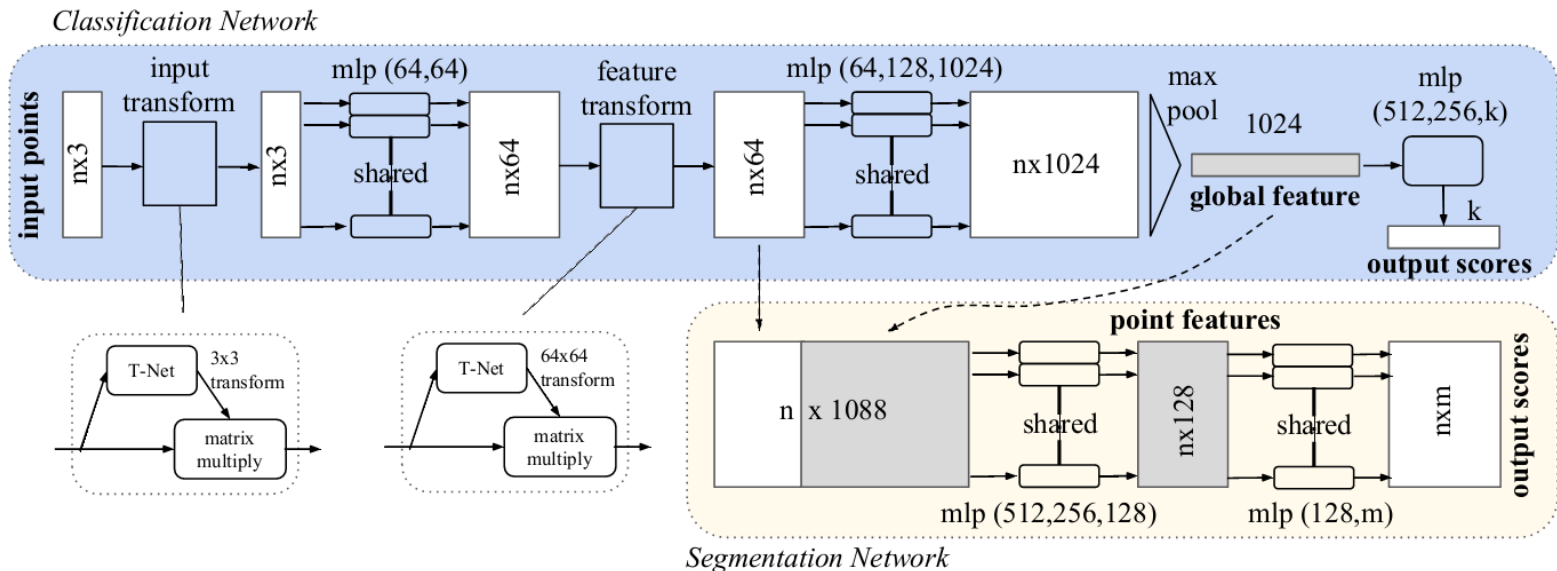
### Leonidas J. Guibas

Paul Pigott Professor of Computer Science and Electrical Engineering (courtesy) in the School of Engineering

#### Research Statement

Professor Guibas heads the Geometric Computation group in the Computer Science Department of Stanford University. He is acting director of the Artificial Intelligence Laboratory and member of the Computer Graphics Laboratory, the Institute for Computational and Mathematical Engineering (iCME) and the Bio-X program. His research centers on algorithms for sensing, modeling, reasoning, rendering, and acting on the physical world. Professor Guibas' interests span computational geometry, geometric modeling, computer graphics, computer vision, sensor networks, robotics, and discrete algorithms --- all areas in which he has published and lectured extensively. Examples of current and recent activities include:





[18] Qi et al. **PointNet**. CVPR 2017.

Shared MLP + max pool  
(对称函数)

## 未建模局部结构

泛化函数：置换排列不变性 & 有效性

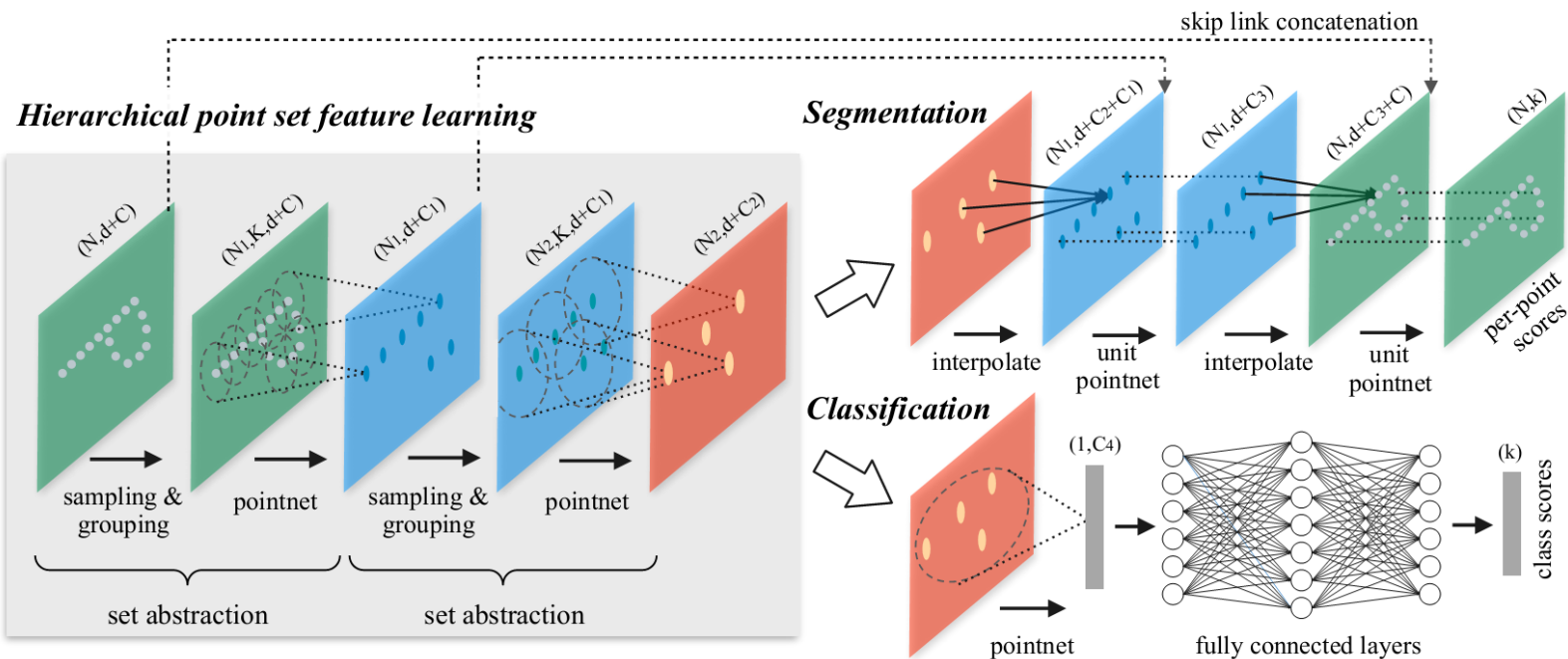
[4] Zaheer et al. Deep sets. NeurIPS 2017.

$$f(\{x_1, \dots, x_n\}) \approx g(h(x_1), \dots, h(x_n))$$

$h(\cdot)$ : 共享的特征变换函数  
MLP

$g(\cdot)$ : 对称的特征聚集函数，比如求和、max pool  
Max pool + fc layers

T-Net: 学习3x3矩阵变换，将不同位姿的输入点云对齐



[19] Qi et al. **PointNet++**. NeurIPS 2017.

### Sampling + Grouping + PointNet

- 局部信息建模
- 类似CNN架构，由局部到全局地学习形状上下文

语义分割：解码上采样

## 简单有效，广泛应用于检测、分割等后端任务上

$$f^{(j)}(x) = \frac{\sum_{i=1}^k w_i(x) f_i^{(j)}}{\sum_{i=1}^k w_i(x)}$$

where  $w_i(x) = \frac{1}{d(x, x_i)^p}, j = 1, \dots, C$





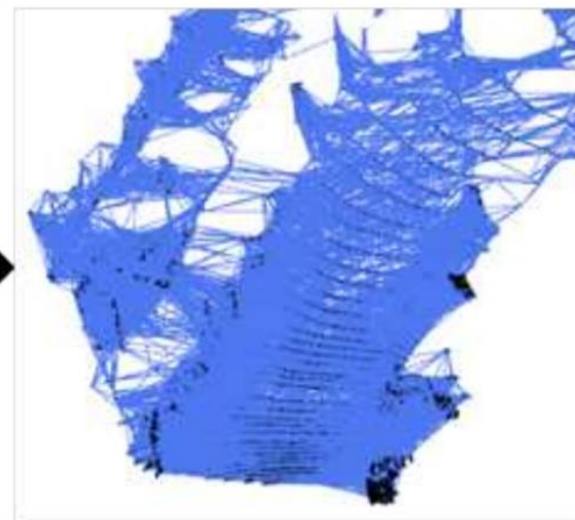
## 图网络建模

Point cloud representation



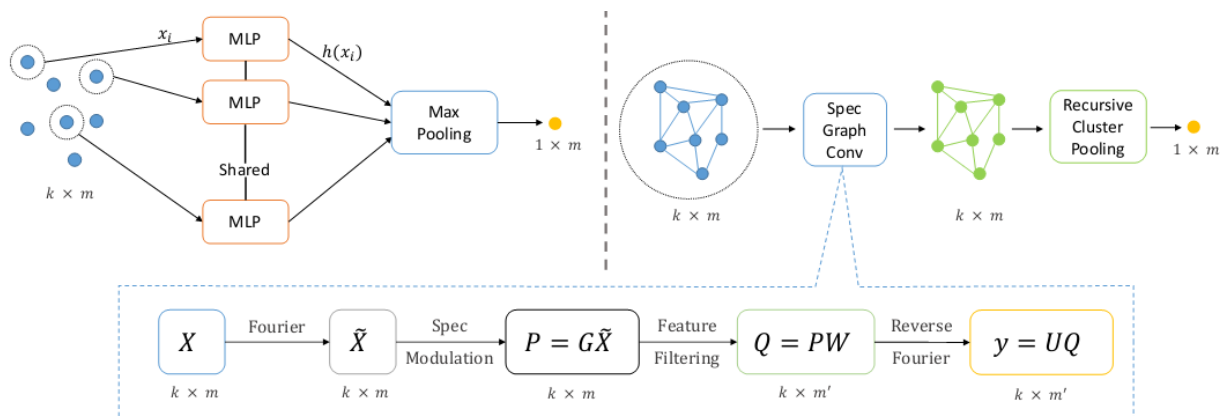
Graph  
Construction

Graph representation





[32] Wang et al. Spectral Graph Convolution. ECCV 2018.

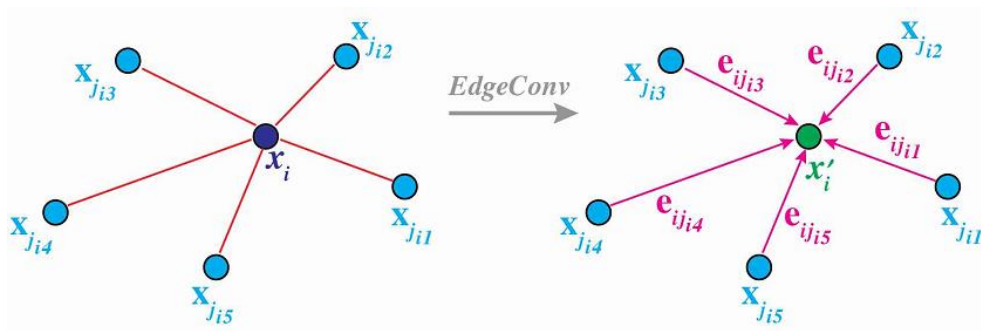


谱域变换 + 图卷积GCN

$$y = g_{\theta}(\mathcal{L})x = \sum_{k=0}^{K-1} \theta_k T_k(\mathcal{L})x$$

复杂度较高

多项式近似 & 动态图静态核



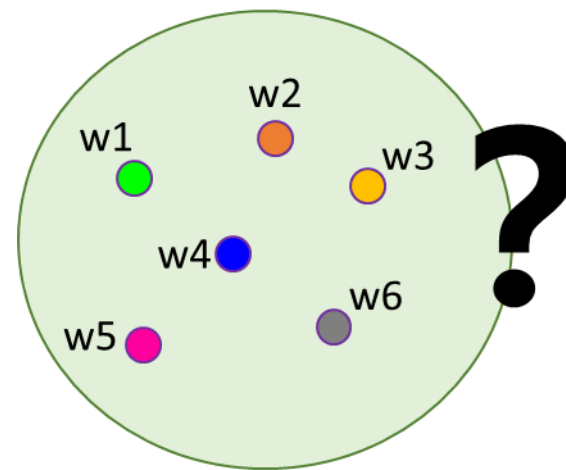
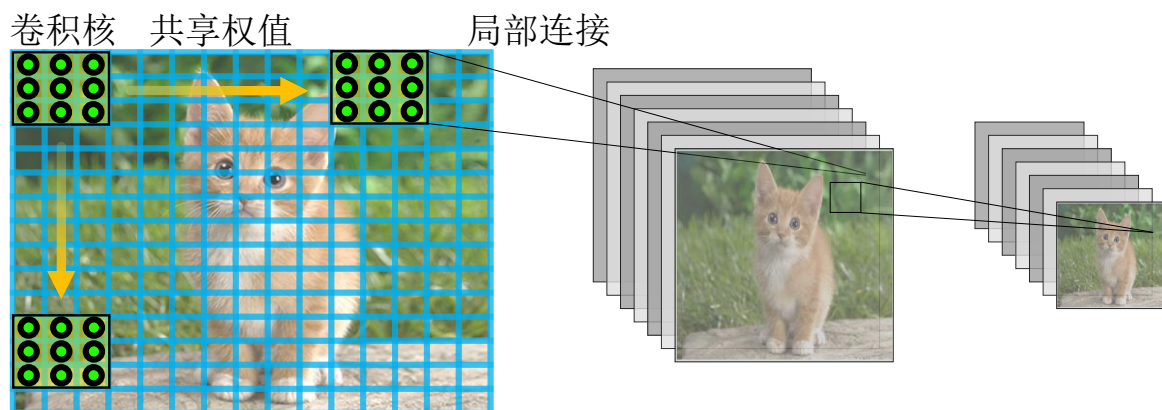
[29] Wang et al. DGCNN. TOG 2019.

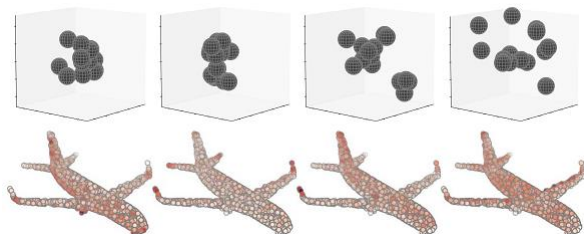
空域动态建图 + EdgeConv

$$x'_i = \square_{j:(i,j) \in \mathcal{E}} h_{\Theta}(x_i, x_j). \quad h_{\Theta}(x_i, x_j - x_i)$$



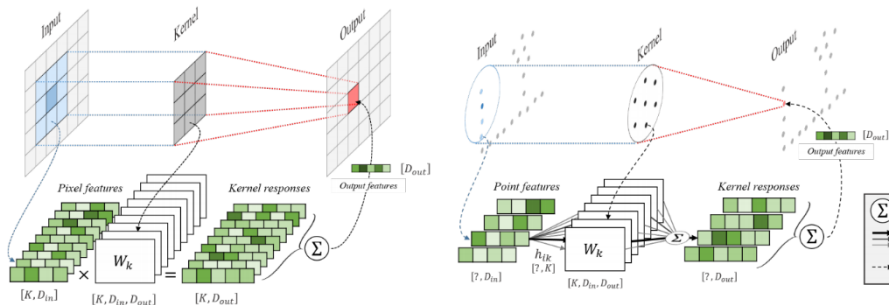
## 卷积核设计





核相关滤波  
(kernel correlation filtering)

$$KC(\kappa, \mathbf{x}_i) = \frac{1}{|\mathcal{N}(i)|} \sum_{m=1}^M \sum_{n \in \mathcal{N}(i)} K_\sigma(\kappa_m, \mathbf{x}_n - \mathbf{x}_i)$$



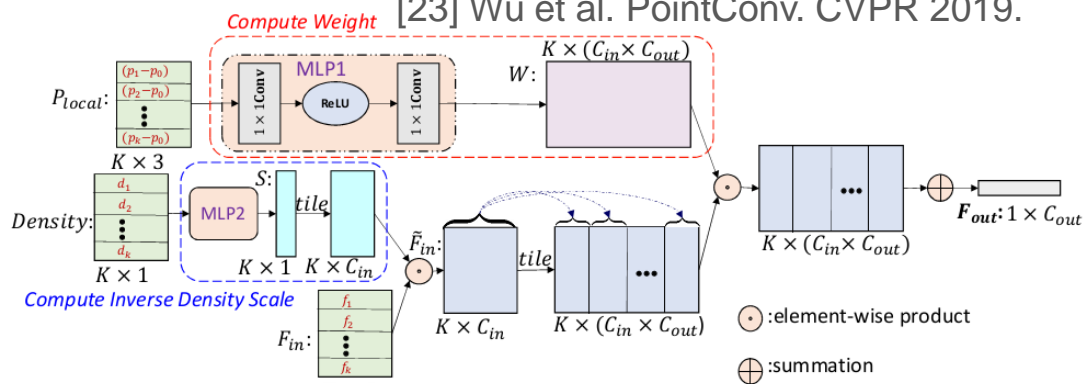
[39] Hugues et al. KPConv. ICCV 2019.

kernel points

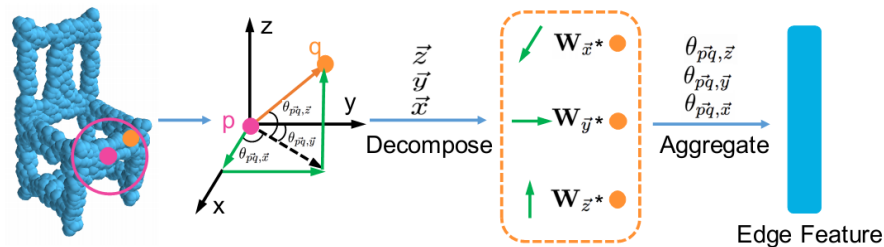
$$(\mathcal{F} * g)(x) = \sum_{x_i \in \mathcal{N}_x} g(x_i - x) f_i \quad g(y_i) = \sum_{k < K} h(y_i, \tilde{x}_k) W_k$$

百家争鸣, 各有优劣

[23] Wu et al. PointConv. CVPR 2019.



[41] Lan et al. Geo-CNN. CVPR 2019.



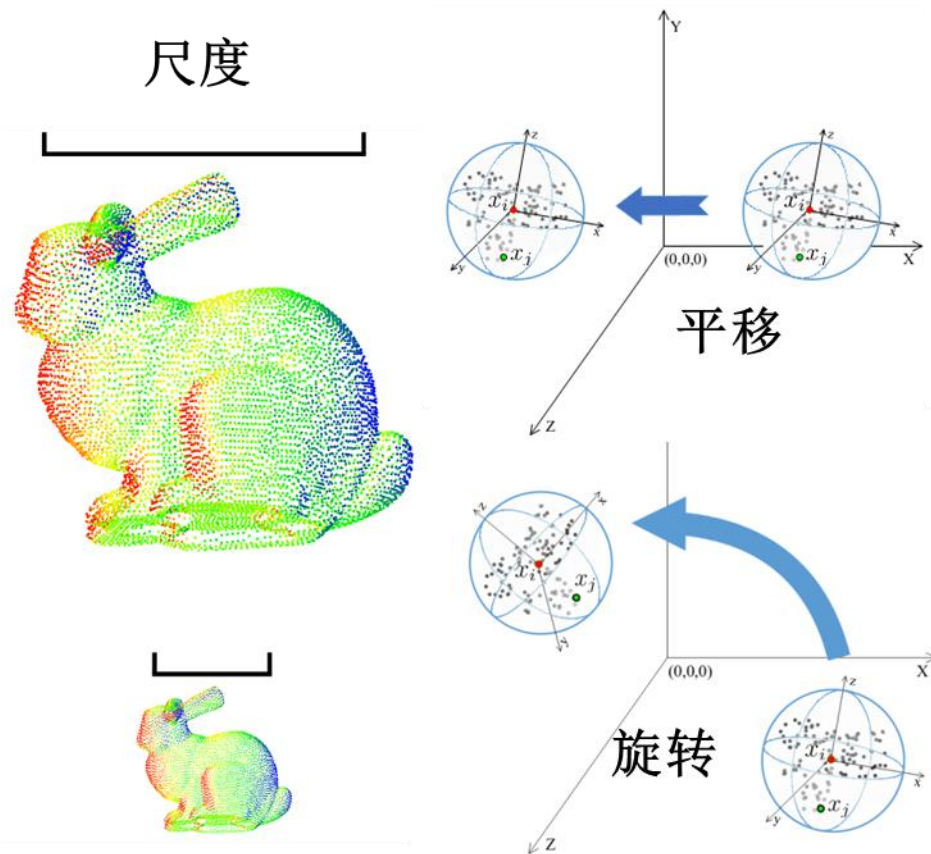
$$\mathbf{F}_{out} = \sum_{k=1}^K \sum_{c_{in}=1}^{C_{in}} S(k) \mathbf{W}(k, c_{in}) F_{in}(k, c_{in})$$

显式引入密度项

显式编码方向



## 鲁棒性问题





## ● 几何变换鲁棒性

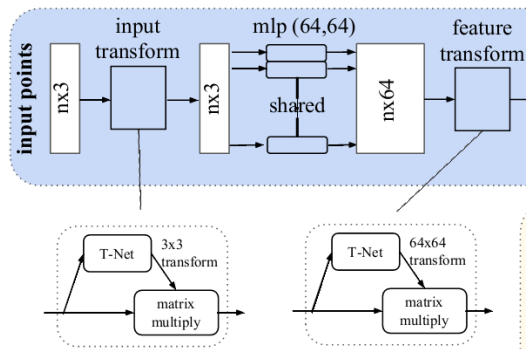
- 数据预处理:

✓ 平移

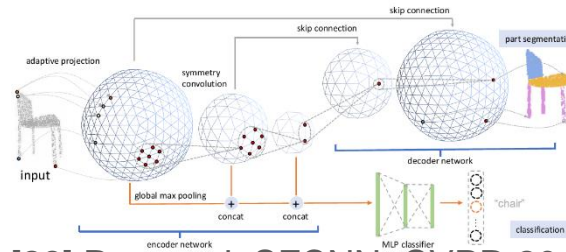
✓ 尺度

✗ 旋转

数据增强 or 姿态归一化



[18] Qi et al. PointNet. CVPR 2017.



[28] Rao et al. SFCNN. CVPR 2019.

## Rotation-Invariant (RI) 表示

$$\|Rx\|_2^2 = \|x\|_2^2 \quad \langle Rx, Ry \rangle = (Rx)^T(Ry) = x^T y = \langle x, y \rangle$$

[40] Chen et al. ClusterNet. CVPR 2019.

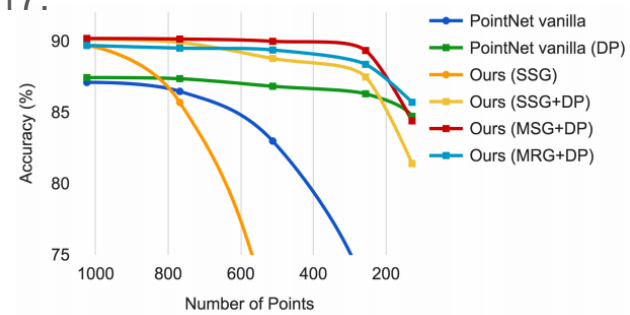
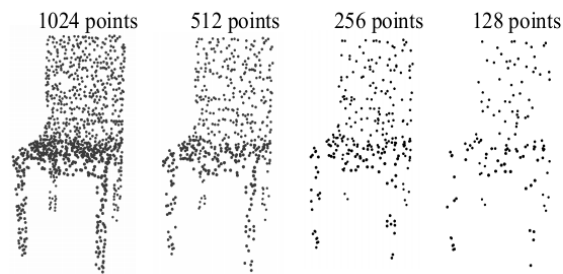
## ● 密度鲁棒性

- 多尺度/多分辨率学习

- Monte Carlo 积分

- 引入密度信息

[19] Qi et al. PointNet++. NIPS 2017.

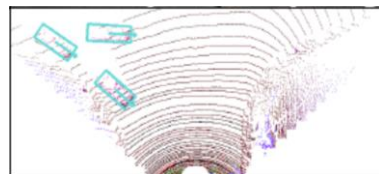


[22] HERMOSILLA et al. MCCNN. TOG 2018.

[23] Wu et al. PointConv. CVPR 2019.

## ● 点云缺失鲁棒性

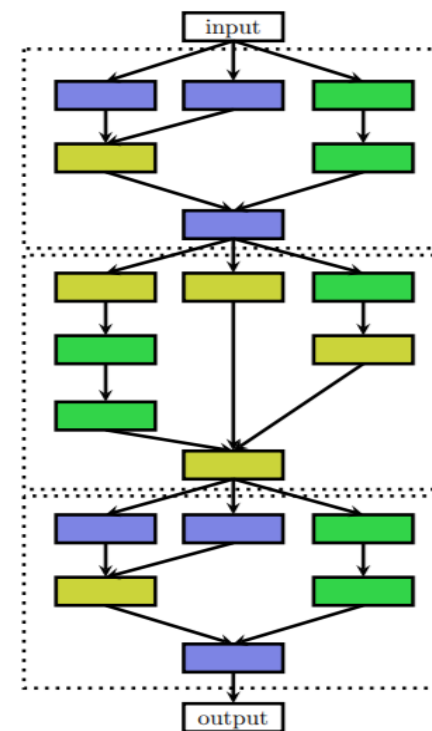
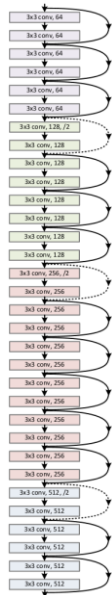
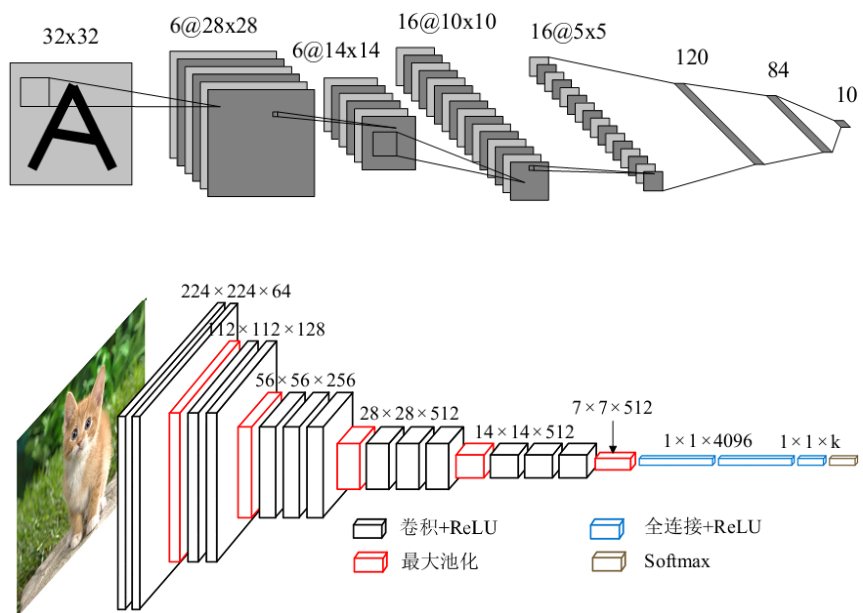
- 点云补全



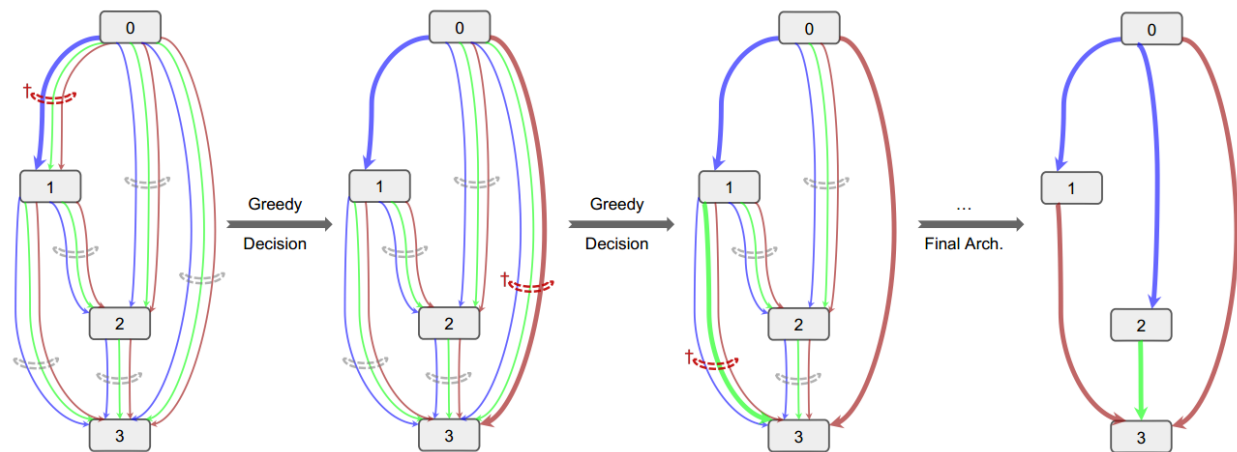
## 鲁棒性在方法、模型层面缺乏研究



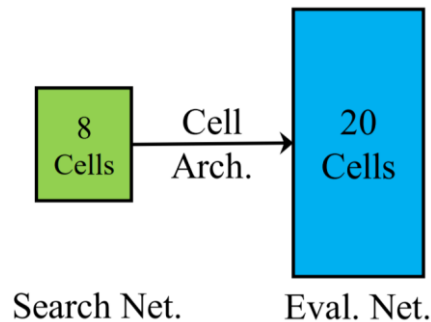
## 神经架构搜索 (NAS)



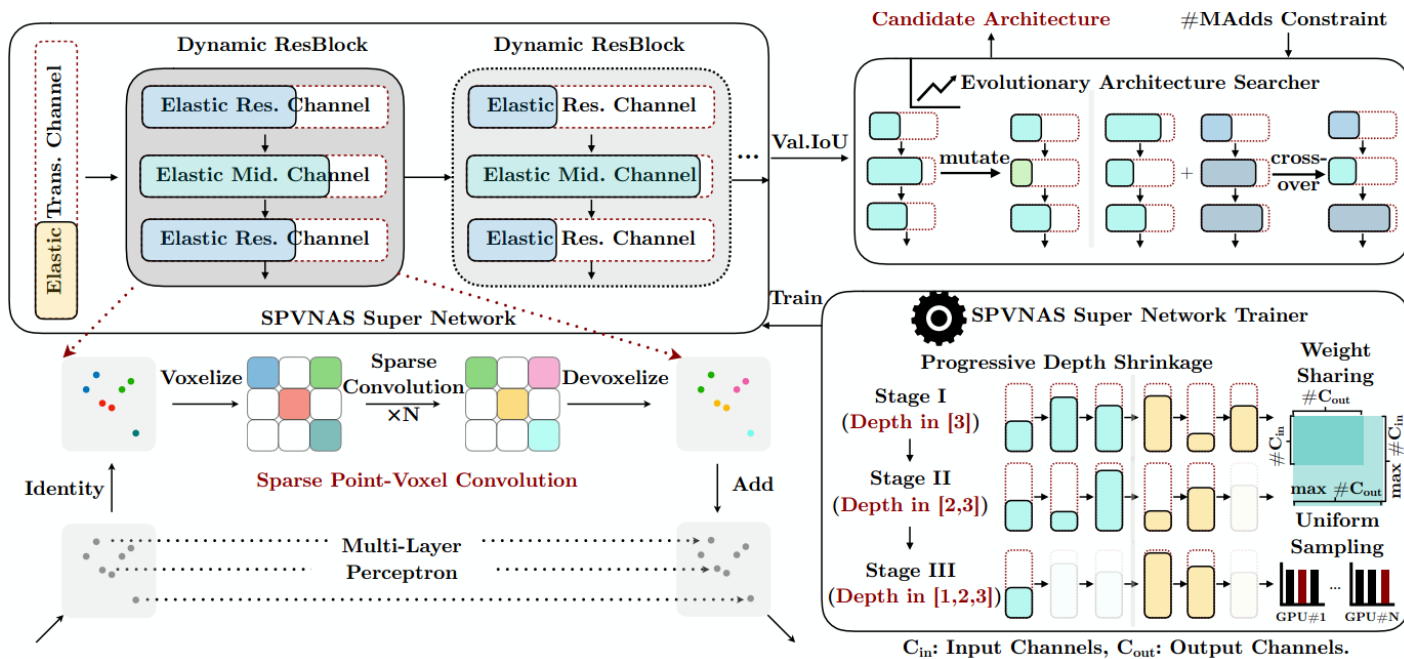
# 研究综述 神经架构搜索



[50] Li et al. SGAS. CVPR 2020.



Gap: 搜索 → 评估  
Darts框架: 贪婪策略  
候选算子: 卷积集成



[51] Tang et al. SPV-NAS. ECCV 2020.

卷积层面:

体素(粗糙) + 点云(精细)

架构层面:

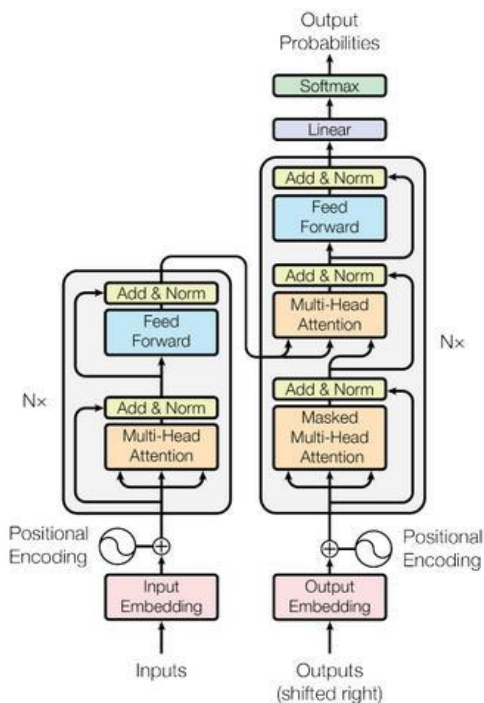
常规NAS + PDS深度衰减

## 缺乏针对点云结构学习的NAS研究





## Transformer应用



### OpenAI: GPT-3

#### Describe a layout.

Just describe any layout you want, and it'll try to render below!

写文章

算数

GPT-3: 自然语言

Generative Pre-Training

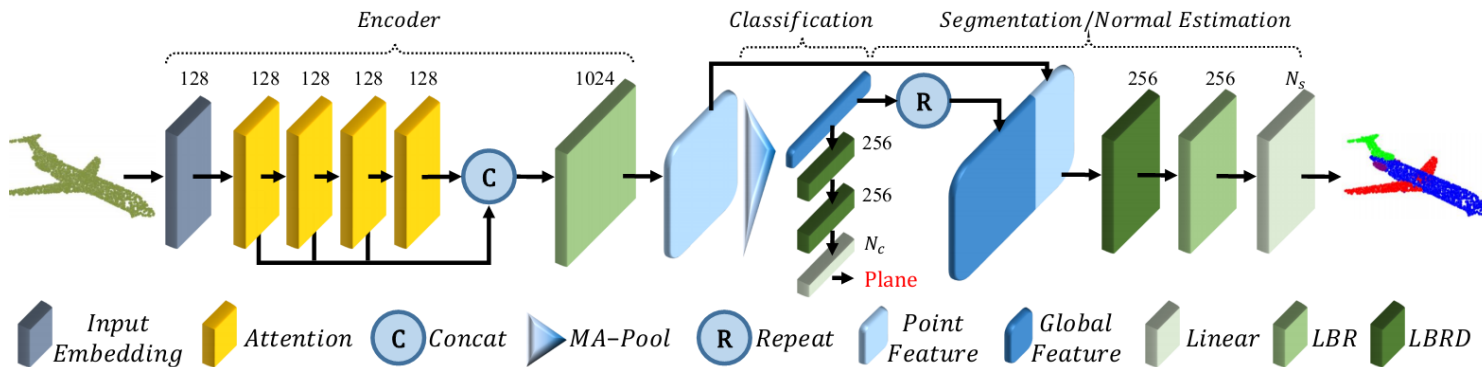
翻译

做报表

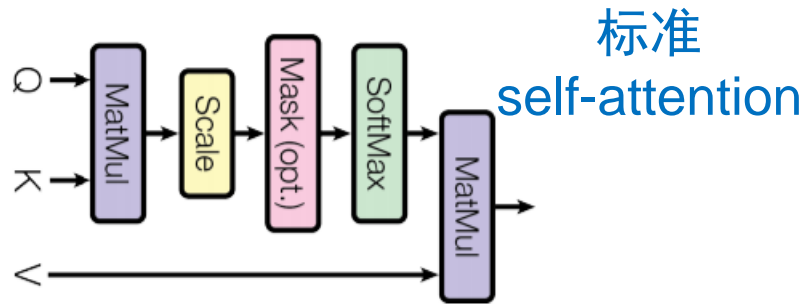
聊天

写代码

问答



[52] Guo et al. PCT. CVMJ 2021.



## 应用Transformer为主

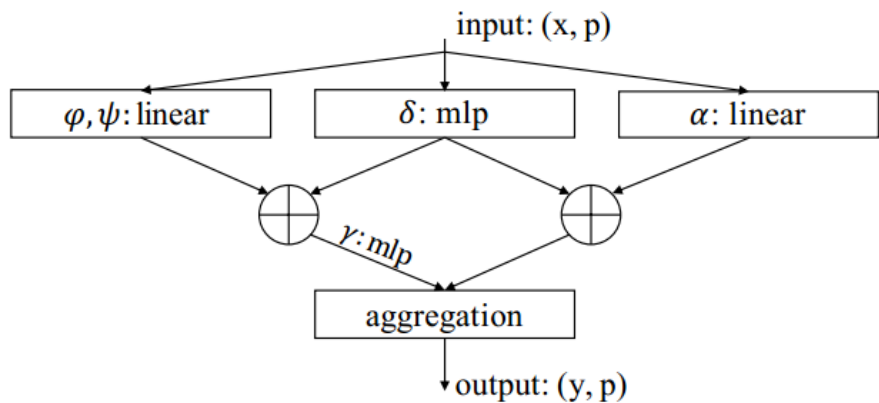
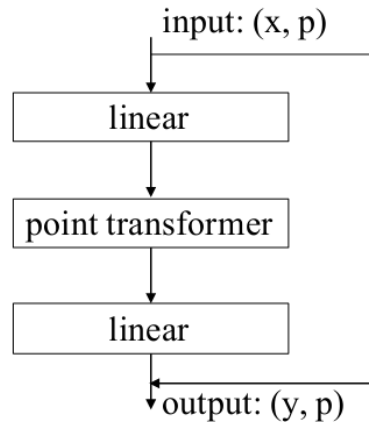


Figure 2. Point transformer layer.



(a) point transformer block

[53] Zhao et al. Point transformer. arXiv 2021.

标准 self-attention

$$y_i = \sum_{\mathbf{x}_j \in \mathcal{X}} \rho(\varphi(\mathbf{x}_i)^\top \psi(\mathbf{x}_j) + \delta) \alpha(\mathbf{x}_j)$$

图像: self-attention 位置编码

$$y_i = \sum_{\mathbf{x}_j \in \mathcal{X}(i)} \rho(\gamma(\varphi(\mathbf{x}_i) - \psi(\mathbf{x}_j) + \delta)) \odot (\alpha(\mathbf{x}_j) + \delta)$$

[54] Shaw et al. Relative position SA. NAACL 2018.

# Github: awesome-point-cloud-analysis

CVPR, ICCV, ECCV, SIGGraph / Asia, TOG,  
NeurIPS, ICLR, AAI, MM, ICRA, IROS,  
3DV..... arXiv

## Keywords

`dat.` : dataset | `cls.` : classification | `rel.` : retrieval | `seg.` : segment  
`det.` : detection | `tra.` : tracking | `pos.` : pose | `dep.` : depth  
`reg.` : registration | `rec.` : reconstruction | `aut.` : autonomous driving  
`oth.` : other, including normal-related, correspondence, mapping, matching, alignment

Statistics: 🔥 code is available & stars >= 100 | ★ citation >= 50

**CVPR 2018, ~25**  
**CVPR 2019, ~50**  
**ICCV 2019, ~40**  
**CVPR 2020, ~70**  
**ECCV 2020, ~40**  
**CVPR 2021, ?**

## awesome-point-cloud-analysis awesome

- Recent papers (from 2017)

- Datasets

## 2018

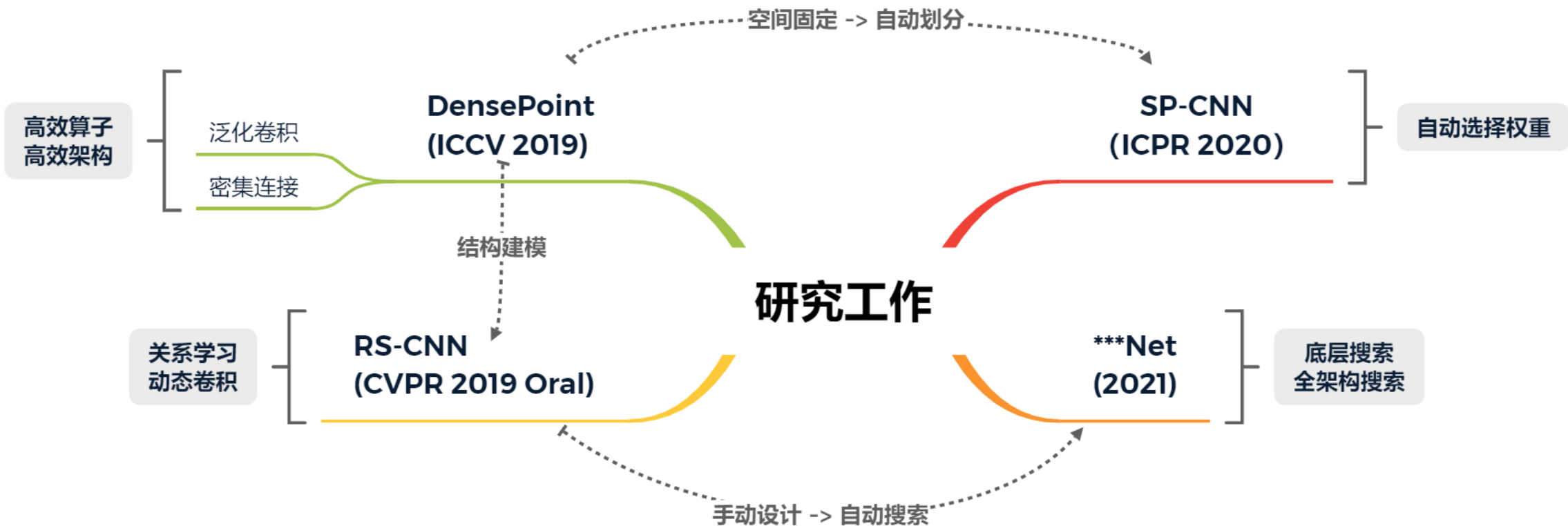
- [CVPR] SPLATNet: Sparse Lattice Networks for Point Cloud Processing. [`caffe`] [`seg.`] 🔥
- [CVPR] Attentional ShapeContextNet for Point Cloud Recognition. [`cls.`] [`seg.`]
- [CVPR] Mining Point Cloud Local Structures by Kernel Correlation and Graph Pooling. [`code`] [`cls.`] [`seg.`]
- [CVPR] FoldingNet: Point Cloud Auto-encoder via Deep Grid Deformation. [`code`] [`cls.`]
- [CVPR] Pointwise Convolutional Neural Networks. [`tensorflow`] [`cls.`] [`seg.`]
- [CVPR] PU-Net: Point Cloud Upsampling Network. [`tensorflow`] [`rec.`] [`oth.`] 🔥
- [CVPR] SO-Net: Self-Organizing Network for Point Cloud Analysis. [`pytorch`] [`cls.`] [`seg.`] 🔥 ★
- [CVPR] Recurrent Slice Networks for 3D Segmentation of Point Clouds. [`pytorch`] [`seg.`]
- [CVPR] 3D Semantic Segmentation with Submanifold Sparse Convolutional Networks. [`pytorch`] [`seg.`] 🔥
- [CVPR] Deep Parametric Continuous Convolutional Neural Networks. [`seg.`] [`aut.`]
- [CVPR] PIXOR: Real-time 3D Object Detection from Point Clouds. [`pytorch`] [`det.`] [`aut.`]
- [CVPR] SGPN: Similarity Group Proposal Network for 3D Point Cloud Instance Segmentation. [`tensorflow`] [`seg.`] 🔥
- [CVPR] Large-scale Point Cloud Semantic Segmentation with Superpoint Graphs. [`pytorch`] [`seg.`] 🔥
- [CVPR] VoxelNet: End-to-End Learning for Point Cloud Based 3D Object Detection. [`tensorflow`] [`det.`] [`aut.`] 🔥 ★



① 背景简介

② 研究综述

③ 工作介绍





中国科学院大学  
University of Chinese Academy of Sciences



# DensePoint: Learning Densely Contextual Representation for Efficient Point Cloud Processing

Yongcheng Liu, Bin Fan, Gaofeng Meng, Jiwen Lu, Shiming Xiang, Chunhong Pan

ICCV 2019

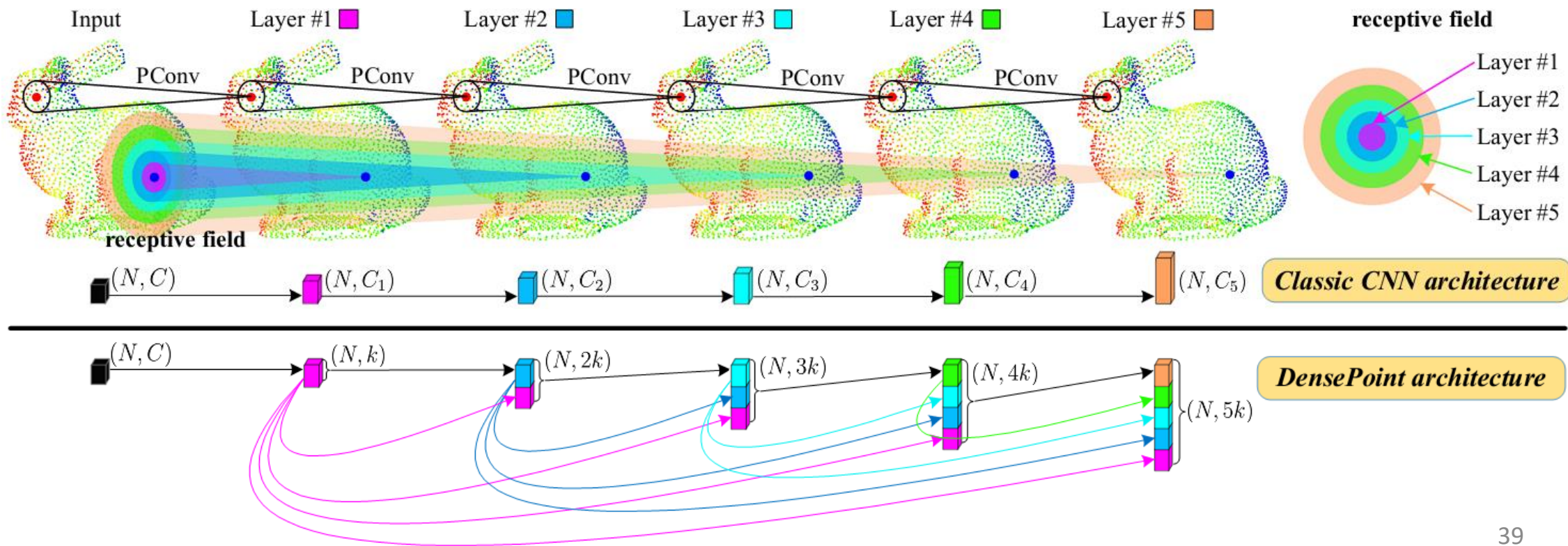
Code: <https://github.com/Yochengliu/DensePoint>

# 工作一: DensePoint 研究方法



核心思想: 多级别感受野 + 高效点云卷积  
密集连接 + 高效点云卷积

以**有机协同**的方式, 渐近地聚集**语义层级化的多尺度信息**



# 工作一: DensePoint 研究方法



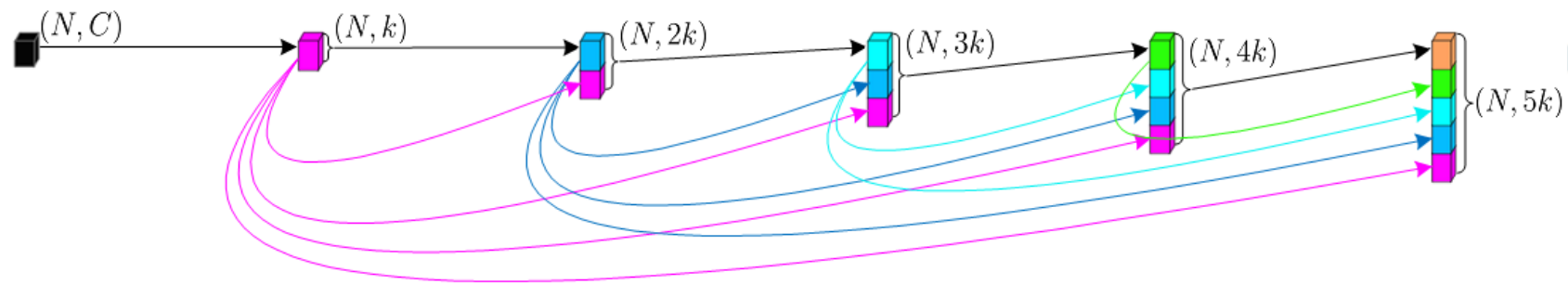
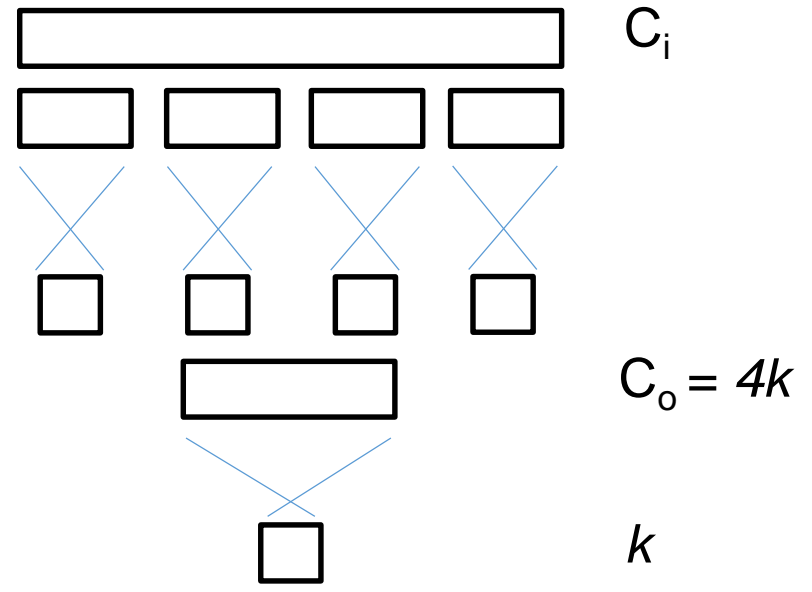
$$\mathbf{f}_{\mathcal{N}(x)} = \rho(\{\phi(\mathbf{f}_{x_n}), \forall x_n \in \mathcal{N}(x)\})$$

$\phi$ : 单层感知器

强化版本: 滤波器分组 (filter grouping)

$$\mathbf{f}_{\mathcal{N}(x)} = \psi(\rho(\{\hat{\phi}(\mathbf{f}_{x_n}), \forall x_n \in \mathcal{N}(x)\}))$$

复杂度:  $C_i * k$  vs.  $C_i * 4k/g + 4k^2$



*DensePoint architecture*



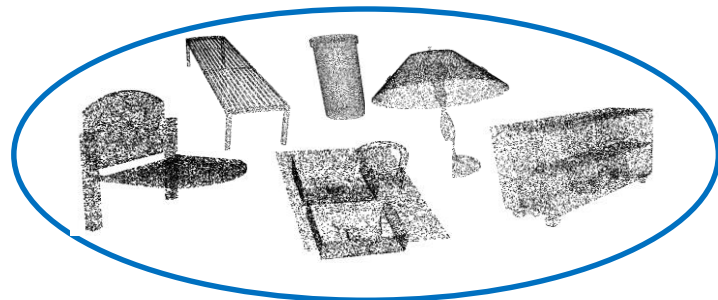
# 工作一: DensePoint 点云形状分类



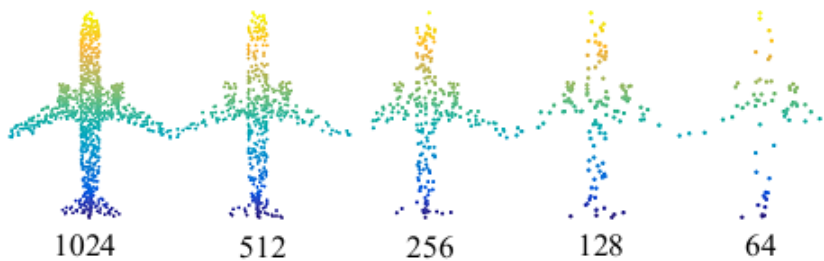
ModelNet40

ModelNet10

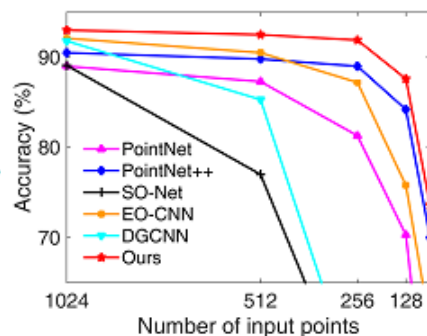
Benchmark



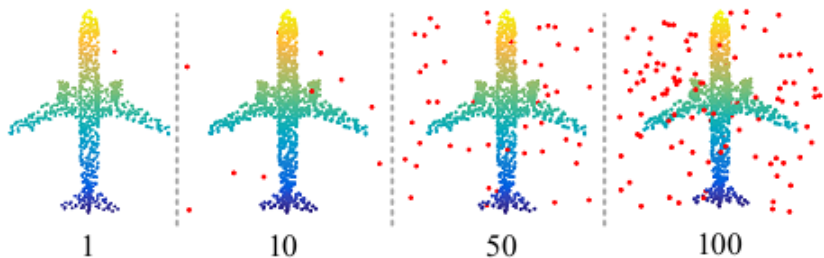
鲁棒性: 采样密度 & 噪声点



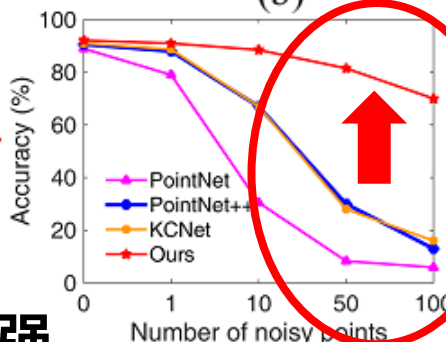
(a)



(b)



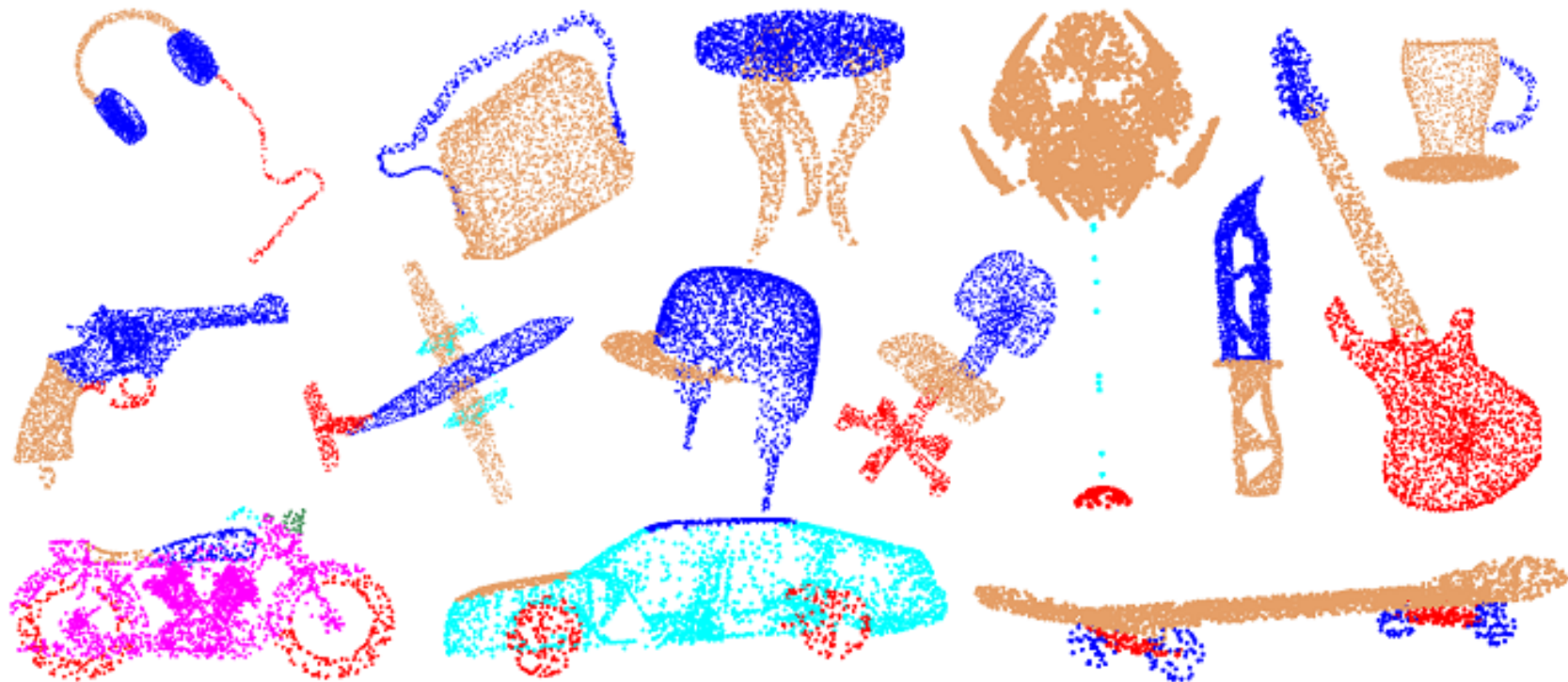
(c)



(d)

无数据增强

method	input	#points	M40	M10
Pointwise-CNN [12]	pnt	1k	86.1	-
Deep Sets [60]	pnt	1k	87.1	-
ECC [40]	pnt	1k	87.4	90.8
PointNet [31]	pnt	1k	89.2	-
SCN [55]	pnt	1k	90.0	-
Kd-Net(depth=10) [21]	pnt	1k	90.6	93.3
PointNet++ [33]	pnt	1k	90.7	-
MC-Conv [11]	pnt	1k	90.9	-
KCNet [39]	pnt	1k	91.0	94.4
MRTNet [4]	pnt	1k	91.2	-
SpecGCN [49]	pnt	1k	91.5	-
DGCNN [52]	pnt	1k	92.2	-
PointCNN [26]	pnt	1k	92.2	-
PCNN [1]	pnt	1k	92.3	94.9
<b>Ours</b>	<b>pnt</b>	<b>1k</b>	<b>93.2</b>	<b>96.6</b>
SO-Net [24]	pnt	2k	90.9	94.1
Kd-Net(depth=15) [21]	pnt	32k	91.8	94.0
O-CNN [50]	pnt, nor	-	90.6	-
Spec-GCN [49]	pnt, nor	1k	91.8	-
PointNet++ [33]	pnt, nor	5k	91.9	-
SpiderCNN [56]	pnt, nor	5k	92.4	-
SO-Net [24]	pnt, nor	5k	93.4	95.7



✓ 对丰富多样的形状结构具有较强辨识能力

$$\mathbf{f}_{\mathcal{N}(x)} = \rho(\{\phi(\mathbf{f}_{x_n}), \forall x_n \in \mathcal{N}(x)\}) \quad \phi: \text{单层感知器}$$

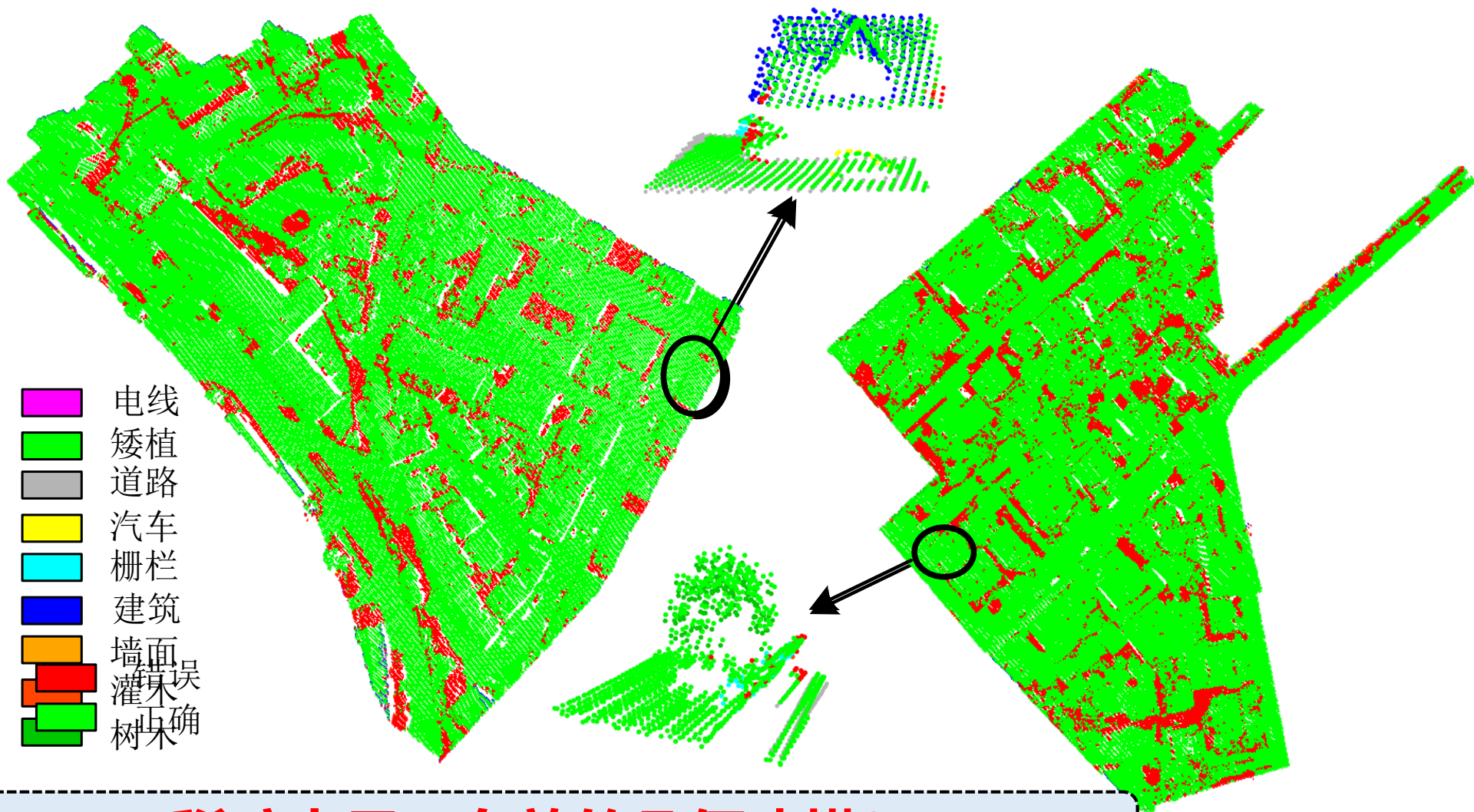
**缺乏内在的几何结构建模**

# 工作一：DensePoint ALS点云场景分割



全局分割较好  
局部有待改善

- 电线
- 矮植
- 道路
- 汽车
- 栅栏
- 建筑
- 墙面
- 灌木
- 树木



稀疏点云：有效的几何建模？

# 工作一: DensePoint 复杂度实测



空间

method	#params	#FLOPs/sample	acc.(%)
PointNet [31]	3.50M	440M	89.2
PointNet++ [26]	1.48M	1684M	90.7
DGCNN [26]	1.84M	2767M	92.2
SpecGCN [26]	2.05M	1112M	91.5
KCNet [39]	0.90M	-	91.0
PCNN [26]	8.20M	294M	92.3
PointCNN [26]	0.60M	1581M	92.2
Ours ( $k = 12, L = 11$ )	0.56M	294M	92.1
Ours ( $k = 24, L = 11$ )	0.67M	651M	93.2
Ours ( $k = 24, L = 6$ )	<b>0.53M</b>	<b>148M</b>	92.1

1024 点

↑ 3 倍

时间

method	#points	Time (ms)		Memory (GB)	
		training	test	training	test
PointNet [31]	1024	55	22	1.318	0.469
PointNet++ [33]	1024	195	47	8.311	2.305
DGCNN [52]	1024	300	68	4.323	1.235
PointCNN [26]	1024	55	38	2.501	1.493
Ours ( $k=24, L=11$ )	1024	21	10	3.745	1.228
Ours ( $k=24, L=6$ )	1024	10	5	1.468	0.886
Ours ( $k=24, L=11$ )	4096	21	10	7.503	1.767
Ours ( $k=24, L=6$ )	4096	10	5	2.417	1.638
Ours ( $k=24, L=11$ )	8192	21	10	14.521	3.027
Ours ( $k=24, L=6$ )	8192	10	5	4.335	2.776

BatchSize = 16

TITAN Xp

↑ 4 倍



中国科学院大学  
University of Chinese Academy of Sciences



# Relation-Shape Convolutional Neural Network for Point Cloud Analysis

Yongcheng Liu, Bin Fan, Shiming Xiang, Chunhong Pan

CVPR 2019 Oral & Best Paper Finalist

Project Page: <https://yochengliu.github.io/Relation-Shape-CNN/>

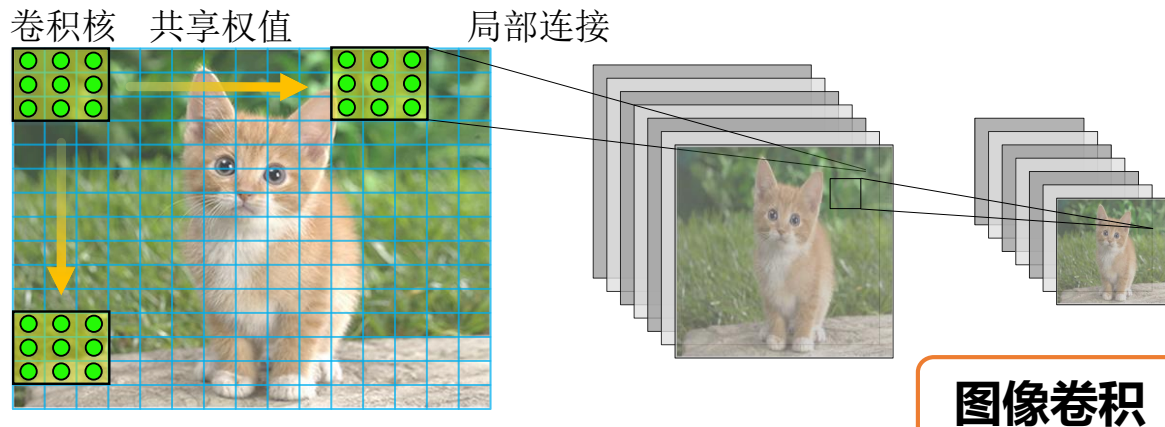
# 工作二：Relation-Shape CNN 研究方法



## ➤ 图像 - 像素卷积

- 规则排列, 权重  $w_j$  与有序索引  $j$  对应
- 一权一点, 权值不等 - 因像素信息而异

$$y = \sigma\left(\sum_j w_j x_j + b\right)$$



## ➤ 点云 - 关系卷积

- 无序性 - 置换排列不变性

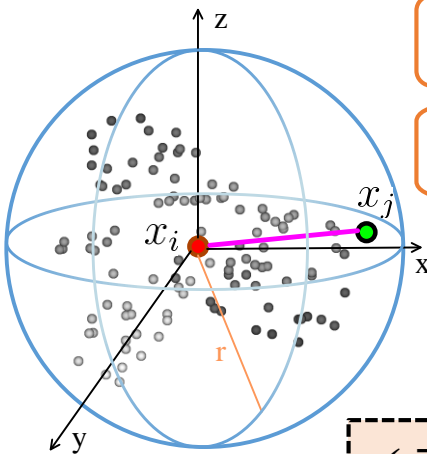
- (i) 特征变换  $\mathcal{T}$
- (ii) 特征聚集  $\mathcal{A}$

$$\mathbf{f}_{P_{\text{sub}}} = \sigma\left(\mathcal{A}\left(\{\mathcal{T}(\mathbf{f}_{x_j}), \forall x_j\}\right)\right)$$

当且仅当  $\mathcal{T}$  函数对邻域内所有点共享权重,  $\mathcal{A}$  函数为对称函数, 比如求和, max pool 等

$$\mathcal{T}(\mathbf{f}_{x_j}) = \mathbf{w}_j \odot \mathbf{f}_{x_j}$$

- 邻域内不共享
- 未建模交互



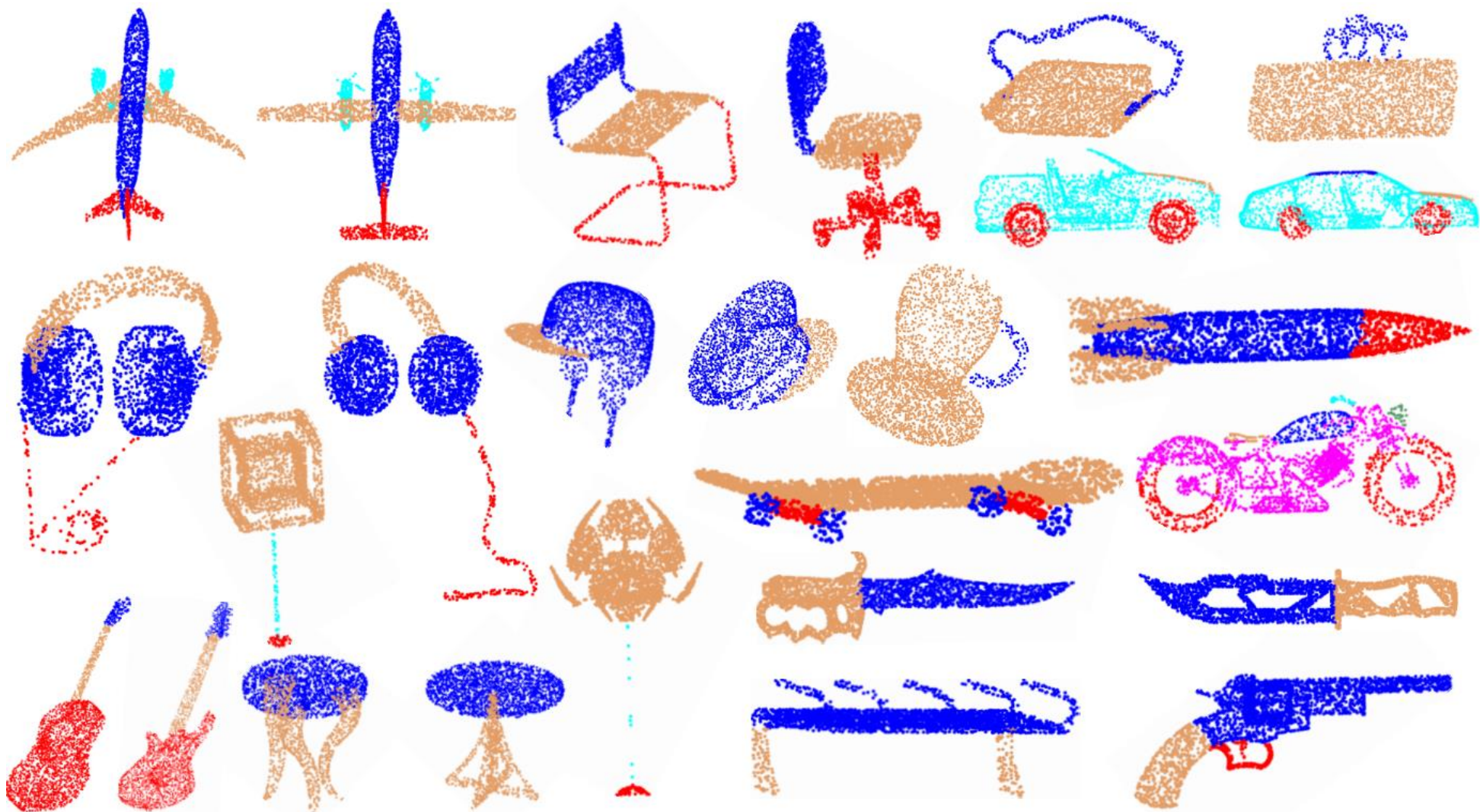
目标转变:  $\mathcal{T}(\mathbf{f}_{x_j}) = \mathbf{w}_{ij} \odot \mathbf{f}_{x_j}$

从几何关系中学习:  $= \mathcal{M}(\mathbf{h}_{ij}) \odot \mathbf{f}_{x_j}$

$\mathbf{h}_{ij}$ : 预定义几何先验 - 低维几何交互  
 $\mathcal{M}$ : 映射函数 (MLP) - 高维几何表达

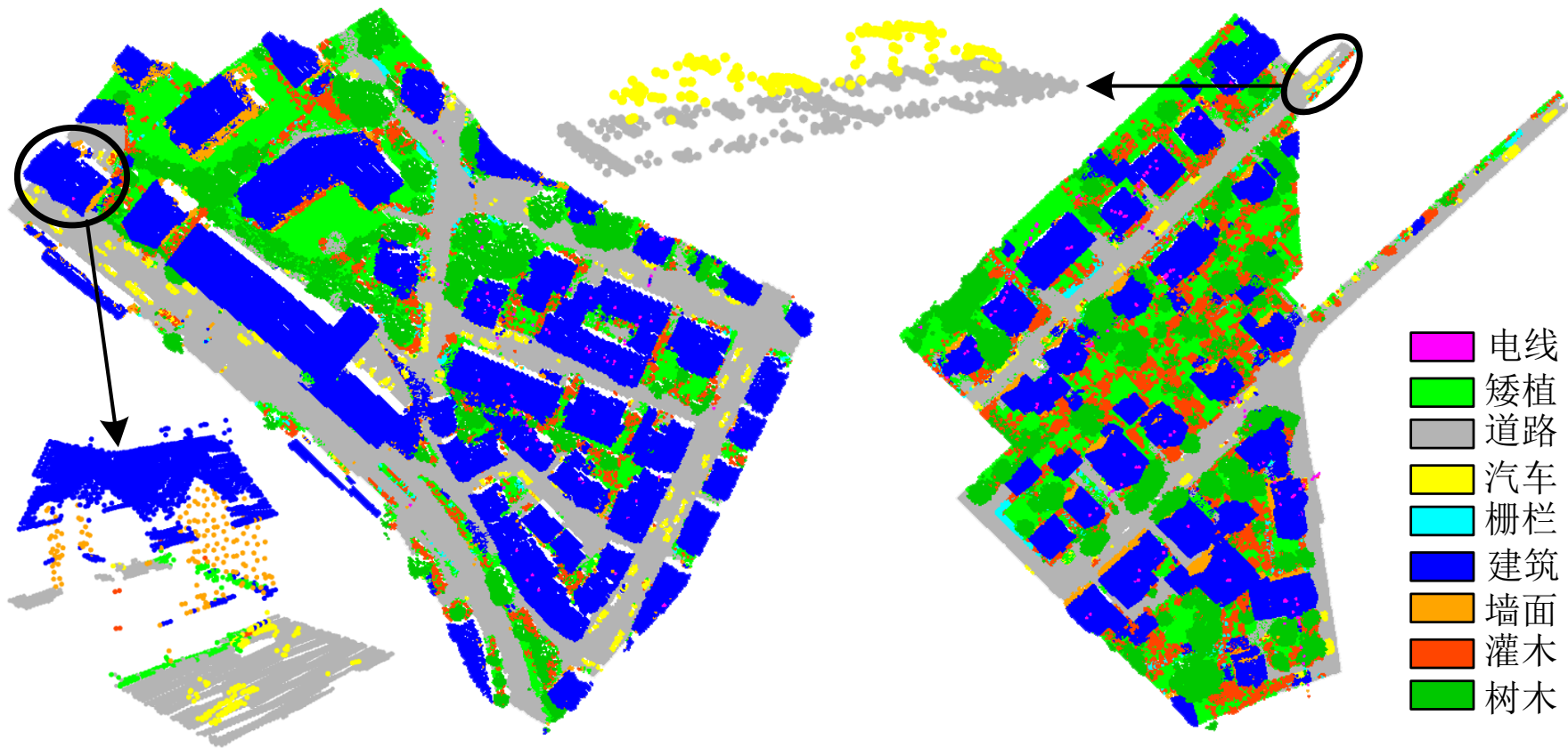
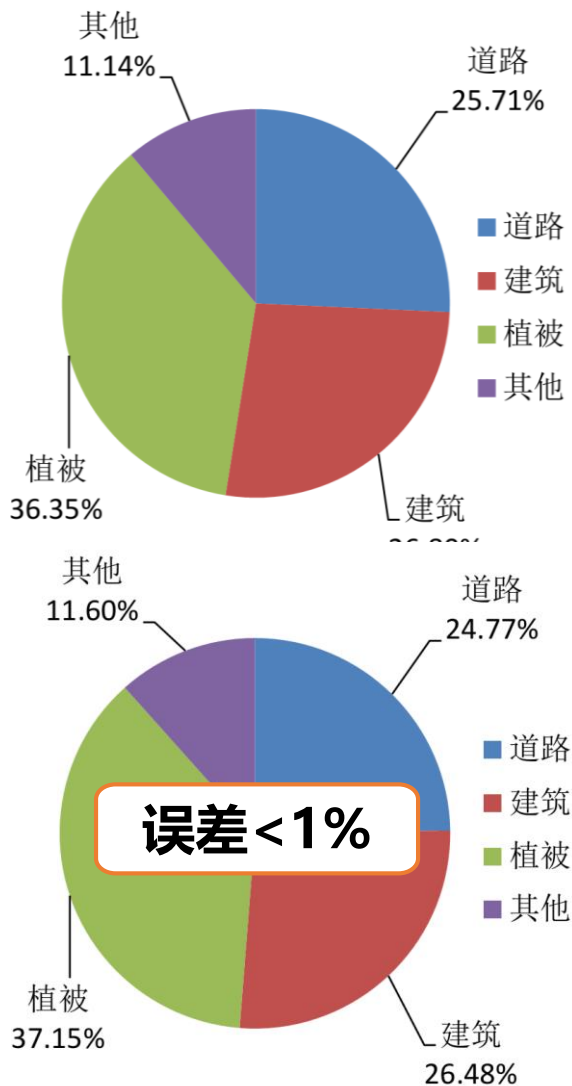
- ✓ 不规则排列, 权重  $w_{ij}$  与无序点对  $(i, j)$  对应
- ✓ 一权一点, 权值不等 - 因几何关系而异

# 工作二：Relation-Shape CNN 点云部件分割



✓ 空间结构建模充分：细粒度分割效果提升

# 工作二: Relation-Shape CNN ALS点云场景分割



全局连贯, 局部精准

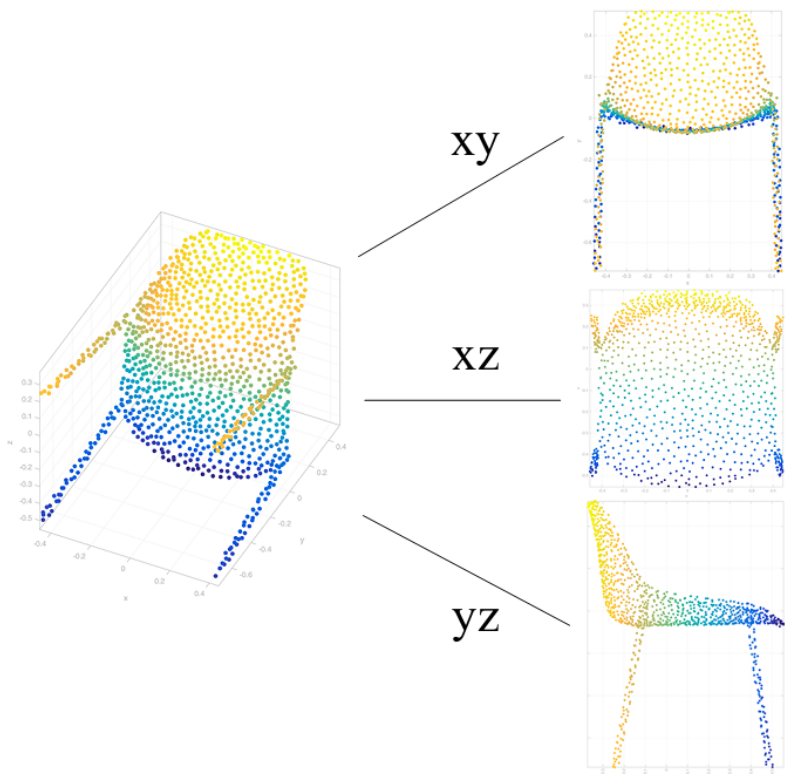


# 工作二: Relation-Shape CNN 几何先验分析



$$\mathbf{f}_{P_{\text{sub}}} = \sigma(\mathcal{A}(\{\mathcal{M}(\mathbf{h}_{ij}) \cdot \mathbf{f}_{x_j}, \forall x_j\}))$$

几何先验可灵活定义



model	low-level relation $\mathbf{h}$	channels	acc.
A	(3D-Ed)	1	92.5
B	(3D-Ed, $x_i - x_j$ )	4	93.0
C	(3D-Ed, $x_i - x_j, x_i, x_j$ )	10	<b>93.6</b>
D	(3D-cosd, $x_i^{\text{nor}}, x_j^{\text{nor}}$ )	7	92.8
E	(2D-Ed, $x'_i - x'_j, x'_i, x'_j$ )	10	$\approx 92.2$

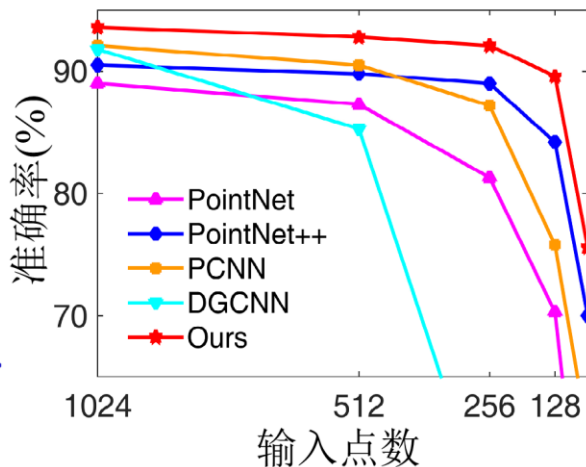
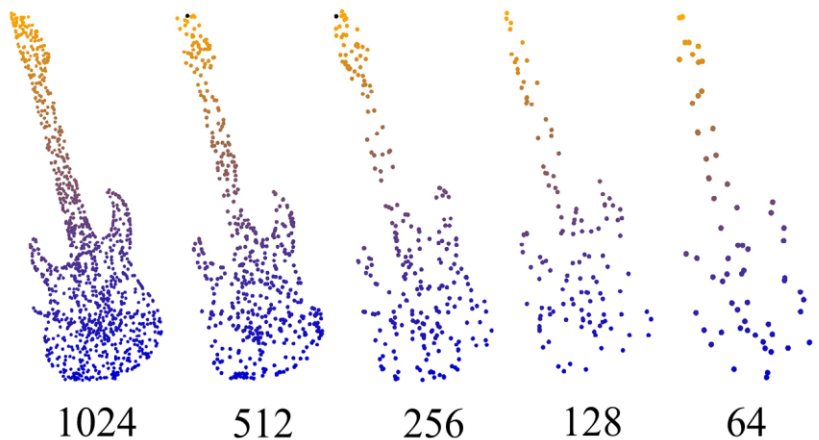
low-level relation $\mathbf{h}$	channels	acc.
(XY-Ed, $x_i^{\text{xy}} - x_j^{\text{xy}}, x_i^{\text{xy}}, x_j^{\text{xy}}$ )	10	92.1
(XZ-Ed, $x_i^{\text{xz}} - x_j^{\text{xz}}, x_i^{\text{xz}}, x_j^{\text{xz}}$ )	10	92.1
(YZ-Ed, $x_i^{\text{yz}} - x_j^{\text{yz}}, x_i^{\text{yz}}, x_j^{\text{yz}}$ )	10	92.2
fusion of above three views		92.5

# 工作二: Relation-Shape CNN 复杂度与鲁棒性



鲁棒性: 几何变换 & 采样密度

方法	OAcc.	点集置换	平移+0.2	平移-0.2	旋转90°	旋转180°
PointNet [100]	88.7	88.7	70.8	70.6	42.5	38.6
PointNet++ [98]	88.2	88.2	88.2	88.2	47.9	39.7
<b>RS-CNN</b>	<b>90.3</b>	<b>90.3</b>	<b>90.3</b>	<b>90.3</b>	<b>90.3</b>	<b>90.3</b>



计算复杂度

模型	#点数	#参数量	#FLOPs/样本
PointNet [100]	1024	3.50M	440M
PointNet++ [139]	1024	1.48M	1684M
DGCNN [139]	1024	1.84M	2767M
SpecGCN [139]	1024	2.05M	1112M
KCNet [174]	1024	0.90M	-
PCNN [139]	1024	8.20M	<b>294M</b>
PointCNN [139]	1024	<b>0.60M</b>	1581M
<b>RS-CNN</b>	1024	1.41M	295M

↑ 1.5 倍



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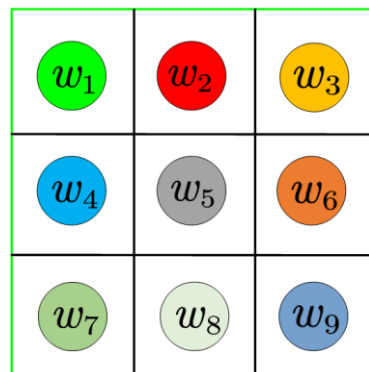


# Deep Space Probing for Point Cloud Analysis

Yirong Yang, Bin Fan, Yongcheng Liu, Hua Lin, Jiyong Zhang,  
Xin Liu, Xinyu Cai, Shiming Xiang, Chunhong Pan

ICPR 2020

# 工作三： Space-Probe CNN 研究动机



2D 图像

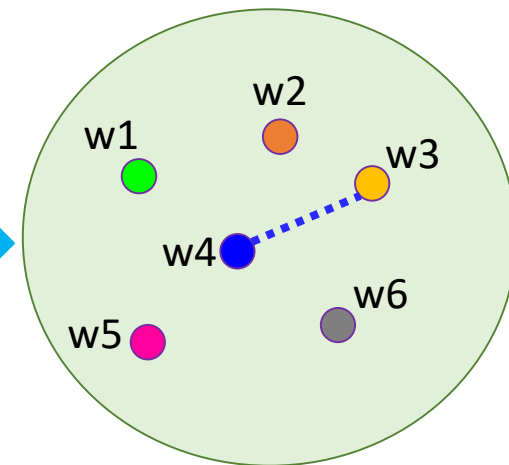
卷积操作

3D 点云

$$y = \sigma\left(\sum_j w_j x_j + b\right)$$

栅格结构 一对一

空间几何结构 一对一

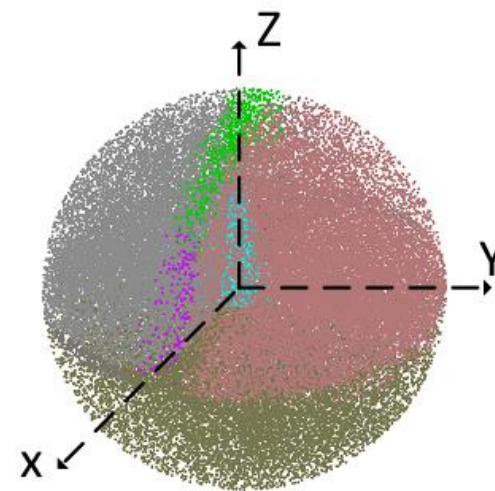


**是否能够将连续的3D空间划分成多个区域?**

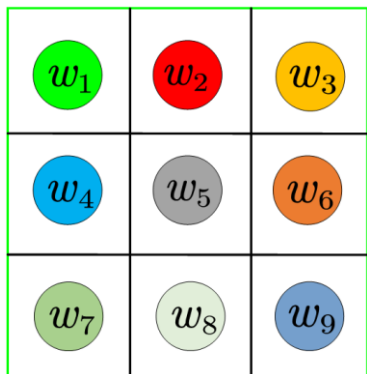
✓ 数据驱动

✓ 可学习

✓ 几何自适应



# 工作三: Space-Probe CNN 研究方法

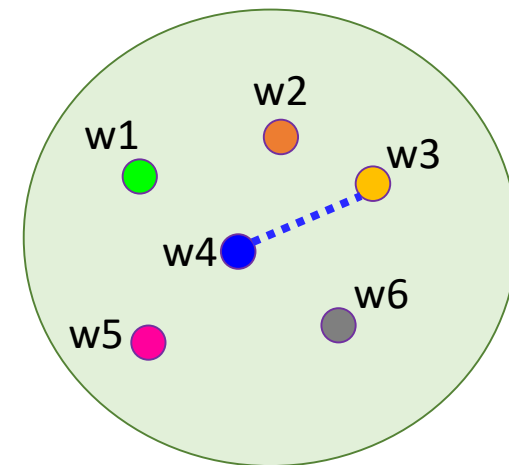


$$\hat{\mathbf{f}}_i^k = \sigma \left( \sum_j^{n \times n} (\mathbf{w}_j^k \cdot \mathbf{f}_j \mid \mathbf{x}_j \in \mathcal{N}(\mathbf{x}_i)) + b \right)$$

函数抽象化

$$\hat{\mathbf{f}}_i^k = \sigma \left( \mathcal{G} \left\{ \mathcal{W}_{\mathcal{I}_j}^k(\mathbf{f}_j) \mid \mathbf{x}_j \in \mathcal{N}(\mathbf{x}_i) \right\} + b \right)$$

$\mathcal{I}_j$ : matching函数  $\mathcal{I}_j = j$



PointNet:  $\mathcal{I}_j = 1$

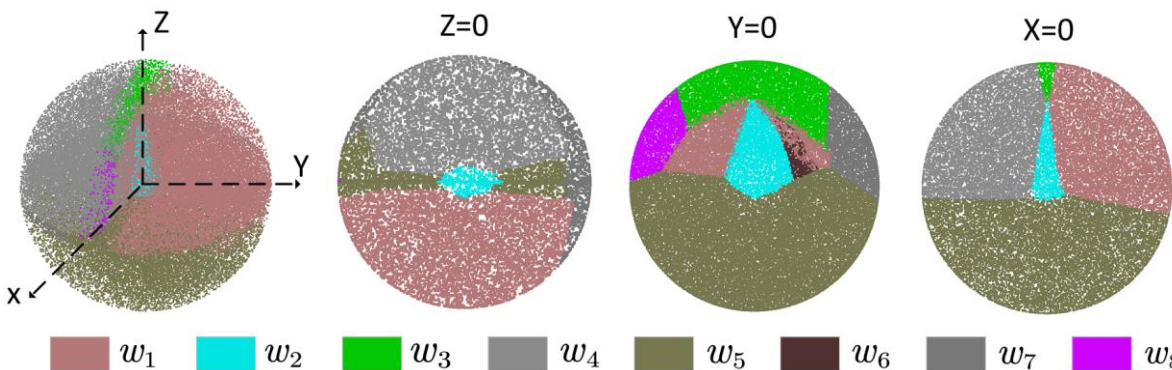
$$\hat{\mathbf{f}}_i^k = \sigma \left( \max_j (\mathbf{w}^k \cdot \mathbf{f}_j \mid \mathbf{x}_j \in \mathcal{N}(\mathbf{x}_i)) + b \right)$$

index-mapping函数:

$$\mathcal{I}_j = \gamma(\mathbf{g}_{ji}) \mid \mathbf{x}_j \in \mathcal{N}(\mathbf{x}_i)$$

$$\mathbf{g}_{ji} = (\mathbf{x}_j - \mathbf{x}_i) \mid \mathbf{x}_j \in \mathcal{N}(\mathbf{x}_i)$$

$$\mathcal{I}_j = \operatorname{argmax}(\operatorname{MLP}(\mathbf{g}_{ji}) \mid \mathbf{x}_j \in \mathcal{N}(\mathbf{x}_i))$$



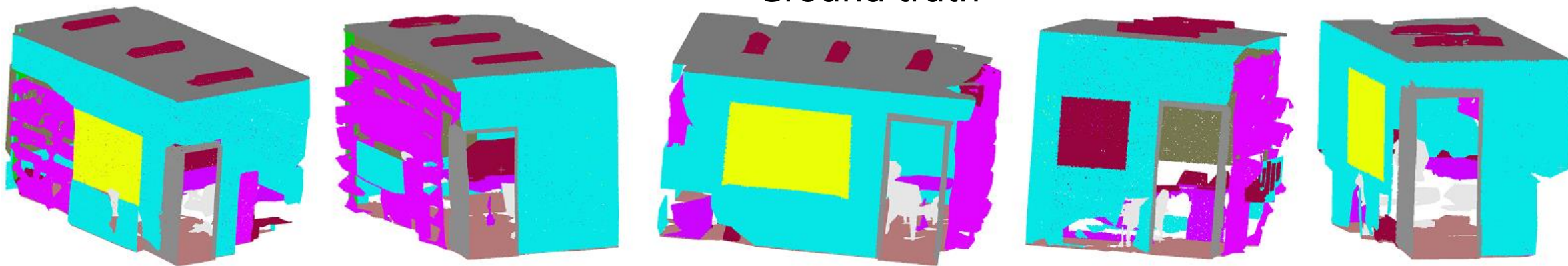
✓ 数据驱动 ✓ 可学习 ✓ 几何自适应

# 工作三: Space-Probe CNN 点云场景分割

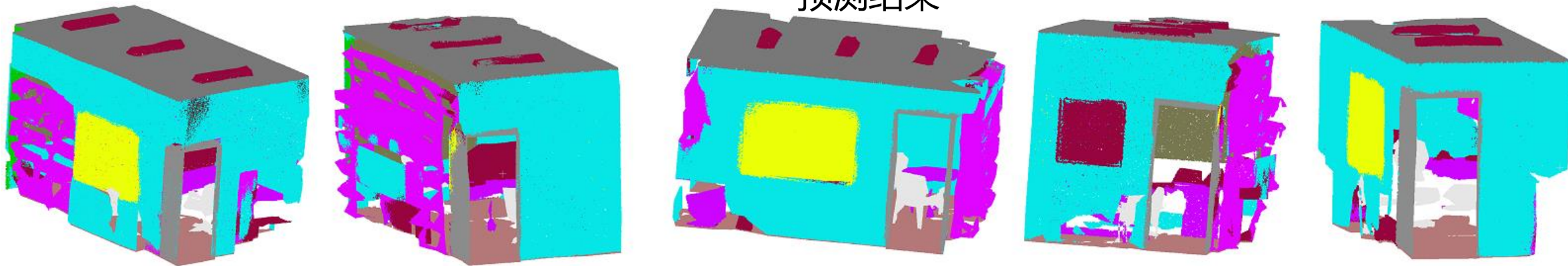


S3DIS 大型场景分割Benchmark

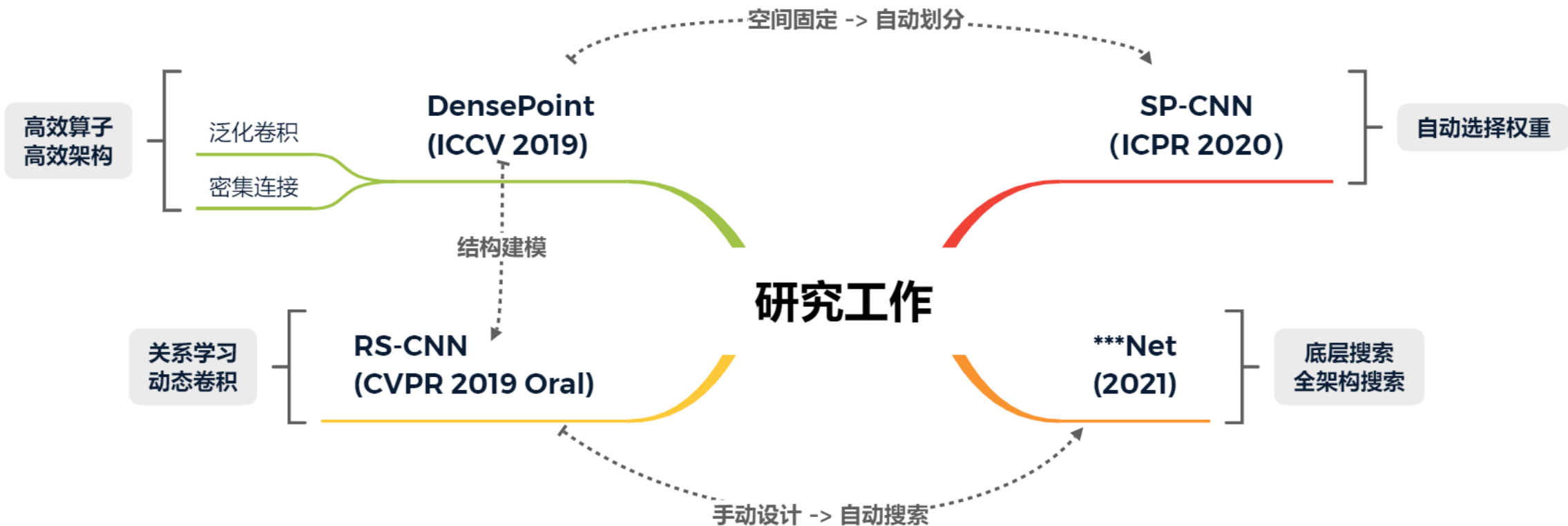
Ground truth



预测结果



场景分割: 良好的语义连贯性

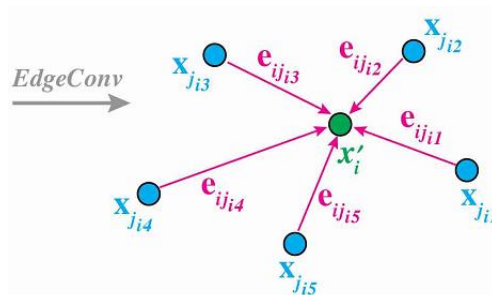
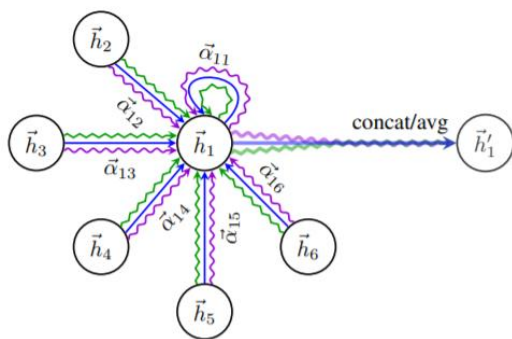
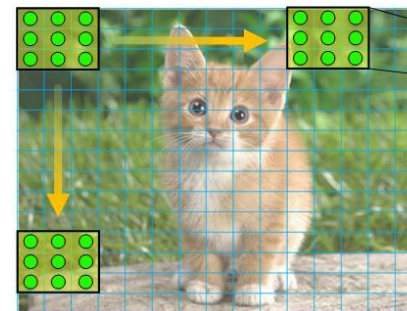


# 工作四: \*\*\*Net



图像:  $1 \times 1$ ,  $3 \times 3$ ,  $5 \times 5$ ,  $3 \times 1$ ,  $1 \times 3$  各种尺寸的卷积核

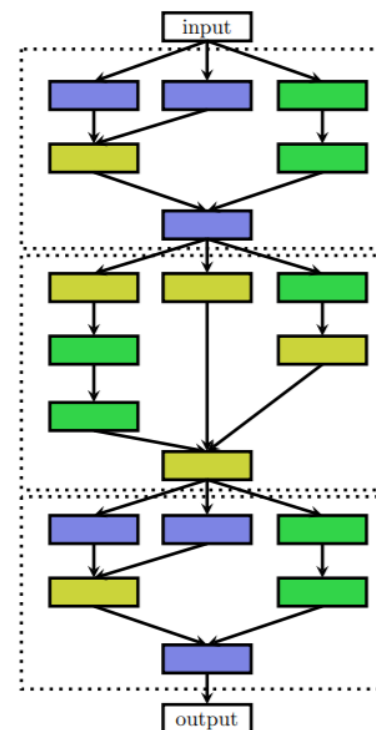
点云: GAT, SemiGCN, EdgeConv 各种现有手工卷积



现有NAS均基于**固定的卷积算子**搜索上层网络架构

**能否突破到底层卷积算子内部?**

**实现由底层操作到顶层架构的全网络搜索**







$$\mathbf{p} = \mathcal{G}(\{\psi(\mathbf{p}_j)\}_{j=1,\dots,n})$$

↓ **Relation-Shape CNN (CVPR 2019)**

$$\mathbf{p}'_i = \mathcal{G}(\{\psi(\mathcal{D}(\mathbf{p}_i, \mathbf{p}_j))\}_{\mathbf{p}_j \in \mathcal{N}(\mathbf{p}_i)}) \text{ 结构 } \mathcal{D} + \text{ 参数 } \psi$$

$$\mathbf{p}'_i = \mathcal{G}(\{h_\gamma(\mathcal{D}(\mathbf{p}_i, \mathbf{p}_j))\}_{\mathbf{p}_j \in \mathcal{N}(\mathbf{p}_i)}),$$

$$\mathcal{D}(\mathbf{p}_i, \mathbf{p}_j) = f_\beta(\forall EA \in \mathcal{D}(\mathbf{p}_i, \mathbf{p}_j)).$$

PointNet (CVPR 2017)

PointWeb (CVPR 2019)

DGCNN (TOG 2019)

均为特例

Relation-Shape-CNN (CVPR 2019)

Pointwise (CVPR 2018)

.....

**实现由底层卷积操作到顶层网络架构的全架构搜索**

**抛砖引玉, 未完待续**



## 点云分割效果展示





## □ 数据层面

PointAugment. CVPR 2020

- ✓ 数据增强: scale (↑分类)、旋转 (↑回归)、T-Net (↑回归)、输入Dropout (↑密度鲁棒)
- ✓ 数据稀疏: 上采样up-sampling
- ✓ 分布不均匀: 引入密度项      MCCNN. TOG 2018      PointConv. CVPR 2019
- ✓ 数据缺失: 点云补全completion
- ✓ 超大尺度场景: 重视数据切分方式      Superpoint Graph. CVPR 2018      Oversegmentation. CVPR 2019

## □ 模型算法层面

- ✓ 追求精度: 数据增强、高分辨率点云、NAS搜网络结构、Transformer
- ✓ 追求速度: 原始点云(PointNet, DensePoint)、体素化voxelization or PointPillar
- ✓ Trade-off: 体素化、原始点云均利用 (SPV-NAS, ECCV 2020)



## □ 3D NAS + Transformer

- 以Transformer为基础
- Transformer 与 CNN 混合

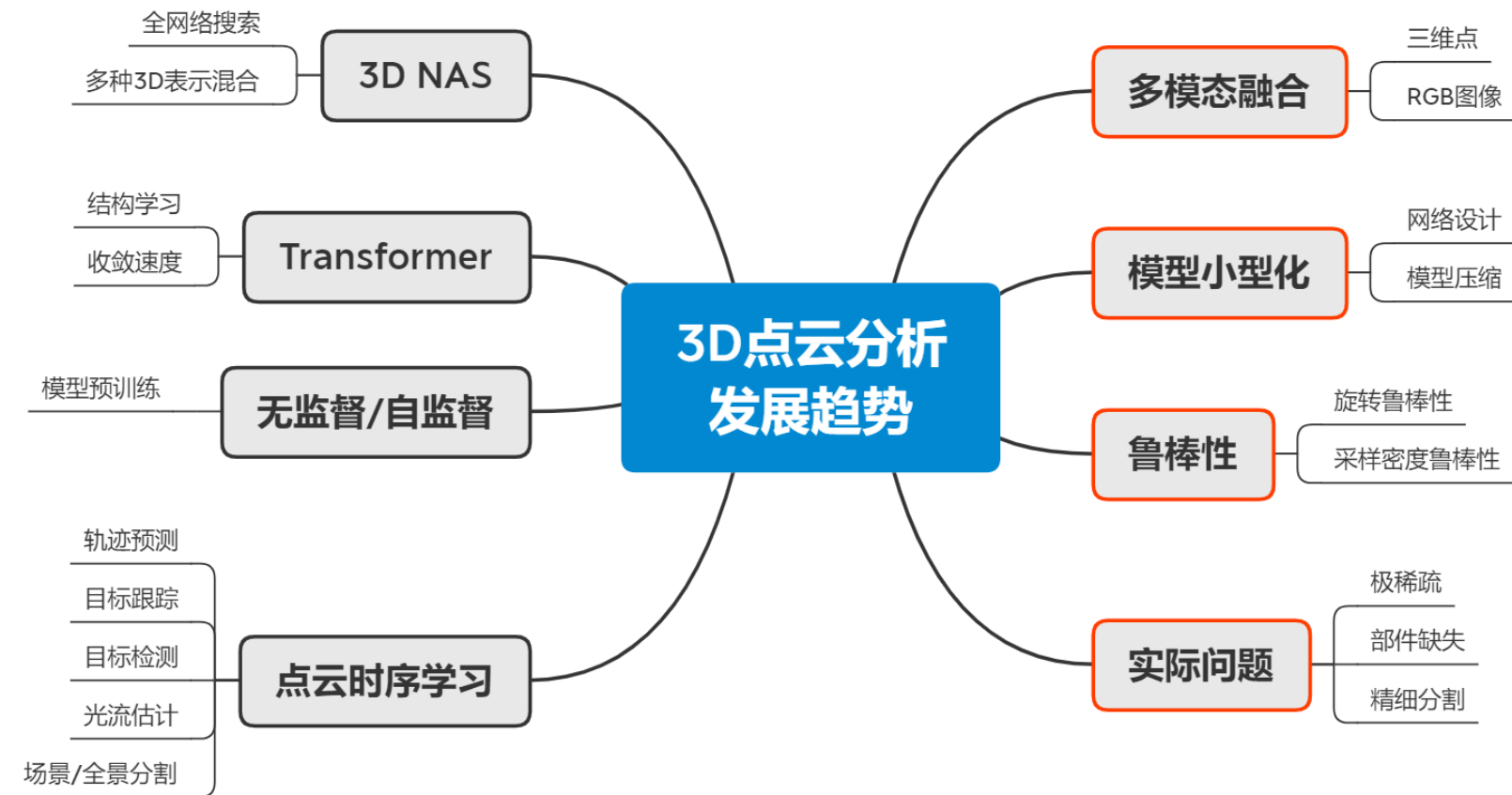
## □ Transformer + 自监督

- 挖掘Transformer表征能力
- 训练3D大模型

## □ 自监督 + 3D NAS

- 挖掘3D NAS潜力

.....



## □ Transformer + 模型加速

- Transformer 速度较快

## □ 多模态融合 → 实际问题

- 综合提升性能

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# Thanks for your attention!

欢迎交流与合作!

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